

Original Paper

Model for Predicting In-Hospital Mortality of Physical Trauma Patients Using Artificial Intelligence Techniques: Nationwide Population-Based Study in Korea

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Abstract

Background: Physical trauma-related mortality places a heavy burden on society. Estimating the mortality risk in physical trauma patients is crucial to enhance treatment efficiency and reduce this burden. The most popular and accurate model is the Injury Severity Score (ISS), which is based on the Abbreviated Injury Scale (AIS), an anatomical injury severity scoring system. However, the AIS requires specialists to code the injury scale by reviewing a patient's medical record; therefore, applying the model to every hospital is impossible.

Objective: We aimed to develop an artificial intelligence (AI) model to predict in-hospital mortality in physical trauma patients using the International Classification of Disease 10th Revision (ICD-10), triage scale, procedure codes, and other clinical features.

Methods: We used the Korean National Emergency Department Information System (NEDIS) data set (N=778,111) compiled from over 400 hospitals between 2016 and 2019. To predict in-hospital mortality, we used the following as input features: ICD-10, patient age, gender, intentionality, injury mechanism, and emergent symptom, Alert/Verbal/Painful/Unresponsive (AVPU) scale, Korean Triage and Acuity Scale (KTAS), and procedure codes. We proposed the ensemble of deep neural networks (EDNN) via 5-fold cross-validation and compared them with other state-of-the-art machine learning models, including traditional prediction models. We further investigated the effect of the features.

Results: Our proposed EDNN with all features provided the highest area under the receiver operating characteristic (AUROC) curve of 0.9507, outperforming other state-of-the-art models, including the following traditional prediction models: Adaptive Boosting (AdaBoost; AUROC of 0.9433), Extreme Gradient Boosting (XGBoost; AUROC of 0.9331), ICD-based ISS (AUROC of 0.8699 for an inclusive model and AUROC of 0.8224 for an exclusive model), and KTAS (AUROC of 0.1841). In addition, using all features yielded a higher AUROC than any other partial features, namely, EDNN with the features of ICD-10 only (AUROC of 0.8964) and EDNN with the features excluding ICD-10 (AUROC of 0.9383).

Conclusions: Our proposed EDNN with all features outperforms other state-of-the-art models, including the traditional diagnostic code-based prediction model and triage scale.

KEYWORDS

artificial intelligence; trauma; mortality prediction; international classification of disease; injury; prediction model; severity score; emergency department; Information system; deep neural network

Introduction

Physical trauma-related mortality places a heavy burden on individuals and society. Accurately estimating mortality risk enhances treatment efficiency and reduces this burden. To date, there are various models to predict the severity of physical trauma patients [1-7]. Among them, the most popular and accurate model is the Injury Severity Score (ISS) developed in the 1970s and based on the Abbreviated Injury Scale (AIS), an anatomical injury severity scoring system [1,8]. However, the AIS requires specialists to code the injury scale by reviewing a patient's medical record; therefore, applying the model to every hospital is impossible. To overcome these shortcomings, the following International Classification of Diseases (ICD)-based severity models have been introduced: ICD-based Injury Severity Score (ICISS)[9], trauma mortality models using International Classification of Disease 10th Revision (ICD-10) (TMPM-ICD10) [10], and Mortality Ratio-adjusted Injury Severity Score (EMR-ISS) [11]. However, ICD-based models are not as accurate as AIS-based models [8]. Since 2016, all emergency medical institutions in Korea have introduced the Korean Triage and Acuity Scale (KTAS), an emergency department (ED) triage system composed of 5 levels [12]. However, the KTAS relies on the practitioner's judgment and may introduce bias and be prone to human error [13].

Artificial intelligence (AI) is widely used to find complex associations between various features in medical applications [14-16], such as individual injuries and mortality. We recently proposed AI technology utilizing AIS codes that outperformed conventional ISS [1], providing a favorable area under the receiver operating characteristic (AUROC) of 0.908 [17]. Tran et al [18] also used AI technology for mortality prediction using the ICD-10 from the National Trauma Database (NTDB) data set, but the AUROC value was not as high as that of our previous proposed AI model.

We aimed to construct an AI model to predict in-hospital mortality in physical trauma patients using the National Emergency Department Information System (NEDIS) data set. We hypothesized that an AI model based on ICD-10 with other clinical features is a useful alternative. We compared the predictive performance of our model with other ICD-10-based models, such as the ICISS [9], EMR-ISS [11], and the AI-driven ICD-10-only based model. Finally, we deployed our AI-driven public website to predict in-hospital mortality in physical trauma patients to benefit end users.

Methods

Ethics Approval

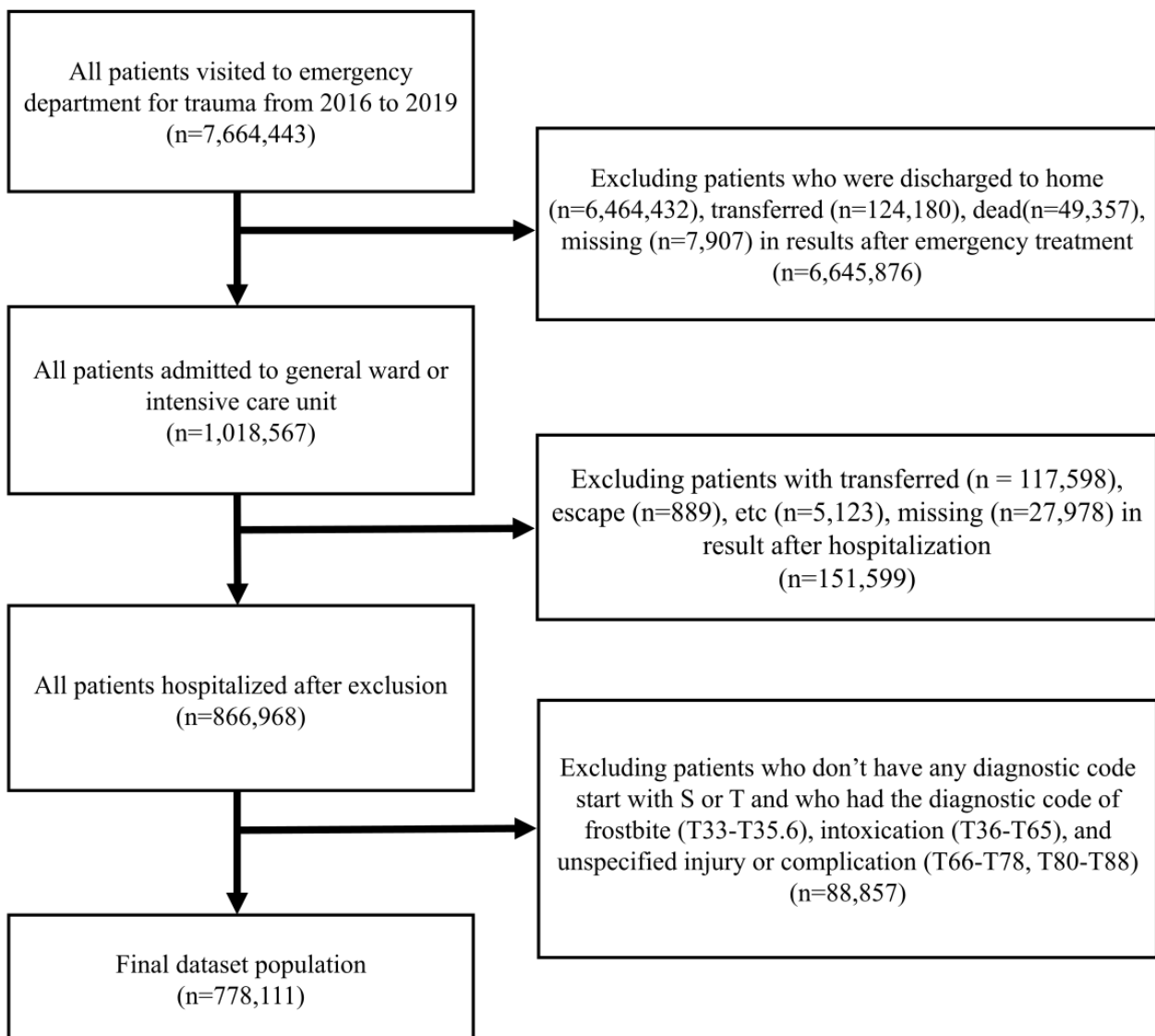
This study was conducted according to the TRIPOD (Transparent Reporting of a Multivariable Model for Individual Prognosis or Diagnosis) statement [19]. NEDIS data were provided by the National Emergency Medical Center (data acquisition number N20212920825).

Patients and Data Set for AI Model

The NEDIS data set was collected mandatorily from 2016 to 2019 from over 400 hospitals in South Korea. The inclusion criteria were as follows: (1) physical trauma patients (but not psychological) with a diagnostic code of S or T based on the Korean version of the ICD-10; (2) patients admitted to the intensive care unit (ICU) or general ward from the ED; and (3) patients admitted to the ICU or general ward after surgery or a procedure from the ED. The exclusion criteria were as follows: (1) patients without diagnostic codes starting with S or T (eg, S001, T063; all physical traumatic patients include S or T code. The S code represents the trauma in a single body region, and the T code represents the trauma in multiple or unspecified regions); (2) patients with diagnostic code of frostbite (T33-T35.6), intoxication (T36-T65), and unspecified injury or complication (T66-T78, T80-T88); (3) patients transferred to another hospital or discharged from the ED after treatment; (4) patients transferred to another hospital or discharged without notification to staffs at hospitals; (5) patients who died in the ED before ICU or general ward admission; and (6) missing information.

More specifically, we first collected 7,664,443 patients with a nondisease identifier comprising trauma patients. Since our primary outcome was to predict in-hospital mortality in trauma patients, we had to exclude unrelated patients. We then excluded all nonhospitalized patient information (n=6,464,432, 84.34%). The second most commonly excluded data were from patients transferred to another hospital (n=241,778, 3.15%). For transferred patients, the NEDIS policy of deidentification is to assign a new anonymous ID number; thus, the data is redundant. In addition, we excluded deceased ED patients (n=49,357, 0.64%) due to insufficient information about diagnostic codes, procedure codes, and other clinical features. Moreover, we excluded escaped patients during hospitalization (n=889, 0.01%) and patients with missing data (n=35,885, 4.68%), not including mortality information. A final total of 778,111 patient data were used for training and testing our AI model (Figure 1).

Figure 1. Flowchart of the patient selection process.



We used the following variables in NEDIS data: age, gender, intentionality, injury mechanism, emergent symptom, Alert/Verbal/Painful/Unresponsive (AVPU) scale, initial KTAS, altered KTAS, ICD-10 codes, procedure codes of surgical operation or interventional radiology, and in-hospital mortality. All included variables for the AI model are summarized in [Table 1](#). A total of 938 AI model input features (categories) were considered from 10 variables. The AVPU scale is a simplified version of the Glasgow Coma Scale (GCS) [20,21] and includes 4 categories: A, alert; V, verbal responsive (drowsy); P, painful response (stupor, semicoma); and U, unresponsive (coma). KTAS was developed as a severity triage in the ED in 2012, based on the Canadian Triage and Acuity Scale (CTAS) [12]. KTAS is a standardized triage tool to avoid complexity and ambiguity and includes 5 categories: level 1, resuscitation; level 2, emergent; level 3, urgent; level 4, less urgent; level 5,

nonurgent. According to NEDIS policy, KTAS should be conducted by a certified faculty, and the initial KTAS should be assessed within 2 minutes of ED admission. The altered KTAS should be assessed when the ED patient deteriorates before moving to the operating room, ICU, or general ward. Regarding ICD-10, we considered 856 codes starting with S or T. The procedure codes, which are used to claim from the National Health Insurance Review and Assessment Service, include surgery and angioembolization and are more specifically categorized as follows and summarized in [Table S1 in Multimedia Appendix 1](#): (1) head procedure; (2) torso procedure-vascular; (3) torso procedure-abdomen; (4) torso procedure-chest; (5) torso procedure-heart; and (6) extracorporeal membrane oxygenation (ECMO). The primary outcome was in-hospital mortality, defined as a patient with a dead result code and discharged with medical futility in NEDIS.

Table 1. Included variables of the Korean National Emergency Department Information System (NEDIS) for the artificial intelligence (AI) model.

Value, n	Variables	Type	Description
1	Age	26 categories	<ul style="list-style-type: none"> • 5-year-old unit, classification
2	Gender	2 categories	<ul style="list-style-type: none"> • M: male • F: female
3	Intentionality	5 categories	<ul style="list-style-type: none"> • 1: accidental, unintentional • 2: self-harm, suicide • 3: violence, assault • 4: other specified • 5: unspecified • 6: no data
4	Injury mechanism	16 categories	<ul style="list-style-type: none"> • 1: traffic accident-car • 2: traffic accident-bike • 3: traffic accident-motorcycle • 4: traffic accident-etc • 5: traffic accident-unspecified • 6: fall • 7: slip down • 8: struck • 9: firearm/cut/pierce • 10: machine • 11: fire, flames or heat • 12: drowning or nearly • 13: poisoning • 14: choking, hanging • 15: etc • 16: unknown • 17: no data
5	Emergent symptoms	2 categories	<ul style="list-style-type: none"> • Y: emergency • N: nonemergency
6	AVPU ^a scale	4 categories	<ul style="list-style-type: none"> • A: alert • V: verbal response (drowsy) • P: painful response (semicoma) • U: unresponsive (coma) • N: unknown
7	Initial KTAS ^b	7 categories	<ul style="list-style-type: none"> • 1: Level 1 (resuscitation) • 2: Level 2 (emergency) • 3: Level 3 (urgency) • 4: Level 4 (less urgency) • 5: Level 5 (nonurgency) • 6: etc • 7: unknown • 8: no data
8	Altered KTAS	5 categories	<ul style="list-style-type: none"> • 1: Level 1 (resuscitation) • 2: Level 2 (emergency) • 3: Level 3 (urgency) • 4: Level 4 (less urgency) • 5: Level 5 (nonurgency) • 6: etc • 7: no data
9	Diagnostic code at discharge	865 categories	<ul style="list-style-type: none"> • ICD-10^c codes starting S^d or T^e
10	Procedure code after hospitalized	6 categories	<ul style="list-style-type: none"> • Procedure code including surgery or interventional radiology

^aAVPU: Alert/Verbal/Painful/Unresponsive.^bKTAS: Korean Triage and Acuity Scale.^cICD-10: International Classification of Disease 10th Revision.

^dRepresents trauma in a single body region.

^cRepresents trauma in multiple or unspecified regions.

Data Split, Data Balancing, and Cross-Validation

The data set in this study comprised both training and testing data (Table S2 in [Multimedia Appendix 1](#)). Data from 778,111 patients were divided into training and testing data with a ratio of 8:2 in a stratified fashion. The testing set was used only to independently test our developed AI model and not for training or internal validation.

We first performed 5-fold cross-validation using the training data to confirm its generalization ability. The training data set ($n=622,488$, 80%) was randomly shuffled and stratified into 5 equal groups, of which 4 groups were selected from training the model, and the remaining group was used for internal validation. This process was repeated 5 times by shifting the internal validation group. Our finalized AI model is described in the subsequent sections and was used to evaluate performance using the isolated testing data.

Since the number of survived patients ($n=611,481$, 98.23%) was much higher than that of deceased patients ($n=11,007$, 1.77%), we upsampled the survived patient data using the Synthetic Minority Oversampling Technique (SMOTE) during the model update [22]. By balancing the 2 groups, we prevented bias toward the survived patient data.

Feature Analysis

To analyze the effects on mortality prediction from 914 features, we applied 3 machine-learning algorithms: Adaptive Boosting (AdaBoost) [23], Extreme Gradient Boosting (XGBoost) [24], and light gradient boosting machine (LightGBM) [25]. We also considered 4 ensemble models: AdaBoost with XGBoost, AdaBoost with LightGBM, XGBoost with LightGBM, and a combination of the 3 models. Finally, among 7 machine learning models, we chose the best prediction model and presented its feature importance analysis, listing features in the order that they contributed to the mortality prediction.

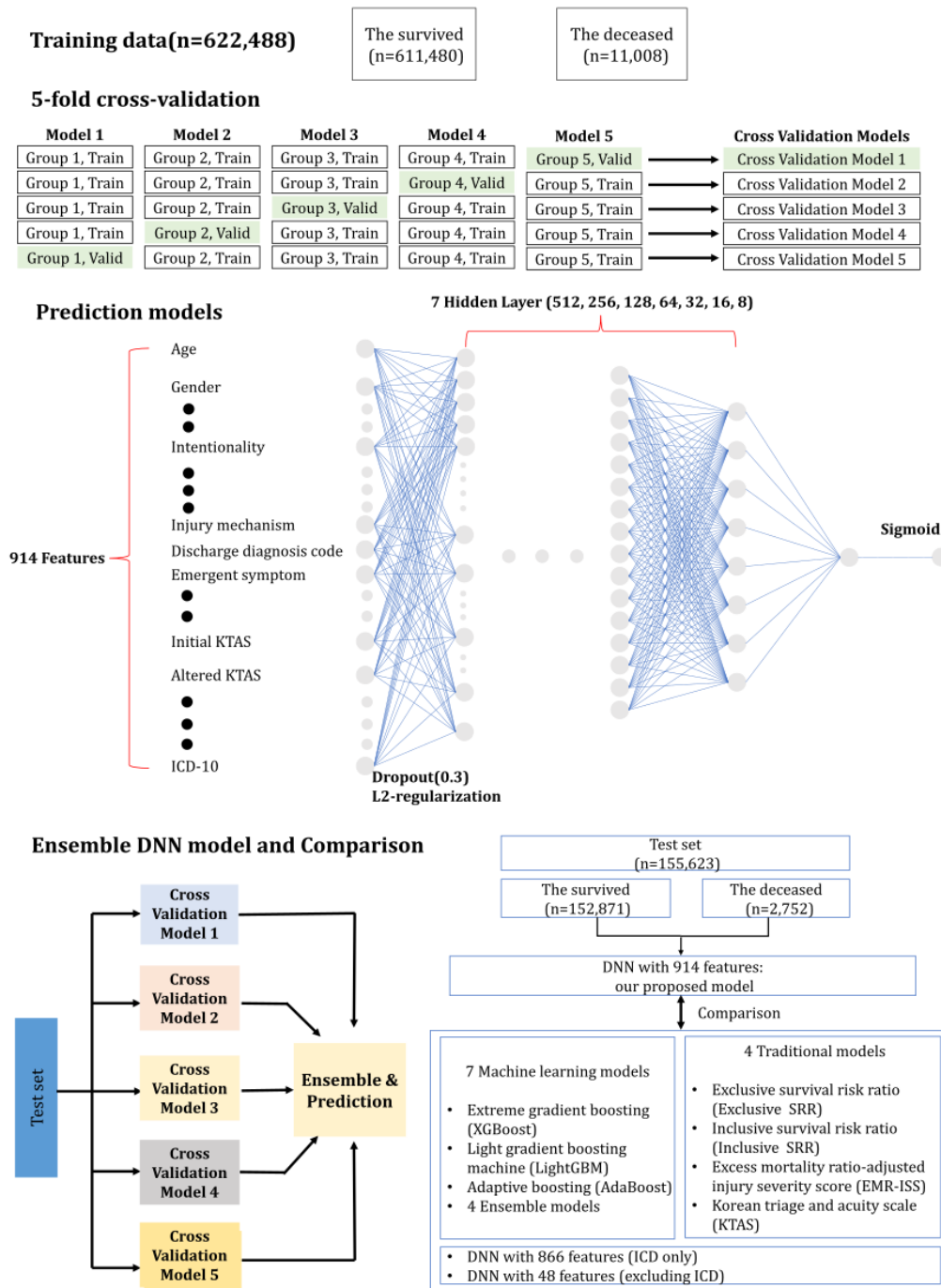
Performance evaluations were based on 5-fold cross-validation using 5 metrics: sensitivity, specificity, accuracy, balanced accuracy, and AUROC.

AI Prediction Model Development and Statistical Analysis

We developed a deep neural network (DNN)-based AI model using 914 features, including ICD-10 as an input layer. To find the best model, we searched hyperparameters, such as layer depth and width for fully connected (FC) layers. The last FC output layer was fed into a sigmoid layer, which provided the mortality probability. After the hyperparameter search, we found the best model with a 9-layer DNN, which comprised an input layer, 7 FC layers as hidden layers, and an output layer. The input layer was fed into a series of 7 FC layers, consisting of 512, 256, 128, 64, 32, 16, and 8 nodes, respectively. We applied dropout with a rate of 0.3 and L2 regularization for the FC hidden layers. [Figure 2](#) shows the process flow of the AI development and DNN architecture. The prediction performance of our proposed 9-layer DNN model was evaluated with 5-fold cross-validation. Subsequently, for the final DNN-based AI model, we adopted an ensemble approach to combine the 5 models from the 5-fold cross-validation. The 914 features were inputs to 5 cross-validation models, and each provided mortality probabilities. A total of 5 probabilities were averaged, known as soft voting. Based on the ensemble DNN model, the prediction performance was evaluated with the isolated testing data set ($n=155,623$, 20%).

We trained the models with an Adam optimizer and binary cross-entropy cost function with a learning rate of 0.001 and a batch size of 32. We implemented the models using Python (version 3.7.13) with TensorFlow (version 2.8.0), Keras (version 2.8.0), NumPy (version 1.21.6), Pandas (version 1.3.5), Matplotlib (version 3.5.1), and Scikit-learn (version 1.0.2). All statistical analyses were performed using R software version 4.1.2 (R Foundation for Statistical Computing). As appropriate, proportions were compared using the chi-square test or Fisher exact test. A P value $<.05$ was considered statistically significant.

Figure 2. Process flow of our artificial intelligence (AI) model development: data, deep neural network (DNN) architecture, ensemble DNN model, and performance comparison. AdaBoost: Adaptive Boosting; EMR-ISS: mortality ratio-adjusted Injury Severity Score; ICD: International Classification of Diseases; KTAS: Korean Triage and Acuity Scale; LightGBM: light gradient boosting machine; SRR: survival risk ratio; XGBoost: Extreme Gradient Boosting.



Conventional Metrics Based on Diagnostic Code

We applied conventional metrics based on ICD-10. ICISS utilizes survival risk ratios (SRRs) to calculate the probability of survival [9]. SRR is defined as the number of survived patients with a specific injury code divided by the number of all patients with the specific same injury code. A patient's probability of survival (Ps) is determined by multiplying all SRRs of the injury codes from the patient [9]. The traditional ICISS was calculated as the product of Ps for as many as 10 injuries [26]. Two different methods were performed to calculate ICISS. First, the inclusive SRR was calculated for each injury

irrespective of the associated injury [9]. Second, the exclusive SRR was calculated by the number of survivors who had an isolated specific injury divided by the total number of patients who only had that injury [9]. Thus, patients with multiple injuries were excluded from the calculation of exclusive SRR [9]. Regarding EMR-ISS, an injury severity grade similar to AIS was produced from ICD-10 codes based on the quintile of the EMR for each ICD-10 code [11]. The EMR-ISS was calculated from 3 maximum severity grades using data from the National Health Insurance data set, the Industrial Accident Compensation Insurance data set, and the National Death Certificate database from 2001 to 2003 [11].

Results

Initial Findings

Of the 778,111 patients included in the final analysis, 13,760 (1.77%) died during hospitalization (13,667 had a deceased

code, and 93 were discharged with a medical facility code). [Table 2](#) shows a comparison of included variables between deceased and surviving patients, and [Table S3](#) in [Multimedia Appendix 1](#) shows the ICD-10 comparison between deceased and surviving patients.

Table 2. Comparison of included variables of the Korean National Emergency Department Information System (NEDIS) between deceased and survived patients.

Variables	Deceased (N=13,760), n (%)	Survived (N=764,351), n (%)	P value
Age (years)			<.001
<1	16 (0.1)	2139 (0.3)	
1-4	63 (0.5)	9706 (1.3)	
5-9	38 (0.3)	16,345 (2.1)	
10-14	36 (0.3)	16,788 (2.2)	
15-19	171 (1.2)	25,776 (3.4)	
20-24	229 (1.7)	31,000 (4.1)	
25-29	214 (1.6)	33,241 (4.3)	
30-34	176 (1.3)	32,151 (4.2)	
35-39	276 (2)	38,611 (5.1)	
40-44	326 (2.4)	42,013 (5.5)	
45-49	583 (4.2)	55,126 (7.2)	
50-54	768 (5.6)	66,276 (8.7)	
55-59	1055 (7.7)	78,447 (10.3)	
60-64	1110 (8.1)	66,899 (8.8)	
65-69	1155 (8.4)	52,900 (6.9)	
70-74	1388 (10.1)	50,396 (6.6)	
75-79	2028 (14.7)	58,334 (7.6)	
80-84	1925 (14)	48,440 (6.3)	
85-89	1320 (9.6)	27,670 (3.6)	
90-94	665 (4.8)	9723 (1.3)	
95-99	189 (1.4)	21,08 (0.3)	
100-104	25 (0.2)	226 (0)	
105-109	4 (0)	25 (0)	
110-114	0 (0)	9 (0)	
115-119	0 (0)	2 (0)	
Procedure code			
Head procedure	2473 (18)	6419 (0.8)	<.001
Torso procedure-vascular	880 (6.4)	4961 (0.6)	<.001
Torso procedure-abdomen	810 (5.9)	4544 (0.6)	<.001
Torso procedure-chest	1209 (8.8)	7228 (0.9)	<.001
Torso procedure-heart	39 (0.3)	127 (0)	<.001
ECMO ^a	183 (1.3)	39 (0)	<.001
Initial KTAS^b			
Level 1	3800 (27.6)	2812 (0.4)	<.001
Level 2	4209 (30.6)	49,234 (6.4)	<.001
Level 3	3306 (24)	270,574 (35.4)	<.001
Level 4	2020 (14.7)	346,663 (45.4)	<.001
Level 5	235 (1.7)	49,892 (6.5)	<.001
Not classified	4 (0)	301 (0)	.698
Unspecified	0 (0)	13 (0)	>.99

Variables	Deceased (N=13,760), n (%)	Survived (N=764,351), n (%)	P value
Missing data	186 (1.4)	44,862 (5.9)	<.001
Altered KTAS			
Level 1	2938 (21.4)	1921 (0.3)	<.001
Level 2	3173 (23.1)	35,356 (4.6)	<.001
Level 3	2784 (20.2)	241,201 (31.6)	<.001
Level 4	873 (6.3)	189,314 (24.8)	<.001
Level 5	108 (0.8)	27,355 (3.6)	<.001
Not classified	0 (0)	5 (0)	>.99
Missing data	3884 (28.2)	269,199 (35.2)	<.001
Intentionality			
Accidental, unintentional	12078 (87.8)	574,556 (75.2)	<.001
Suicide, intentional self-harm	248 (1.8)	6235 (0.8)	<.001
Assault, violence	113 (0.8)	12,989 (1.7)	<.001
Other specified	132 (1)	1694 (0.2)	<.001
Unspecified	548 (4)	12,225 (1.6)	<.001
Missing data	641 (4.7)	156,652 (20.5)	<.001
Injury mechanism			
Traffic accident-car	1154 (8.4)	98,320 (12.9)	<.001
Traffic accident-bike	450 (3.3)	20,692 (2.7)	<.001
Traffic accident-motorcycle	1020 (7.4)	31,957 (4.2)	<.001
Traffic accident-pedestrian, train, airplane, ship, etc	1925 (14)	35,898 (4.7)	<.001
Traffic accident-unknown	18 (0.1)	197 (0)	<.001
Fall down	2374 (17.3)	76,714 (10)	<.001
Slip down	3859 (28)	16,8677 (22.1)	<.001
Struck by person or object	713 (5.2)	60,518 (7.9)	<.001
Firearm/cut (sharp or object)/piece	159 (1.2)	39,515 (5.2)	<.001
Machine	54 (0.4)	16,991 (2.2)	<.001
Fire, flames, or heat	207 (1.5)	6587 (0.9)	<.001
Drowning or nearly drowning	20 (0.1)	203 (0)	<.001
Poisoning	62 (0.5)	1811 (0.2)	<.001
Choking, hanging	146 (1.1)	436 (0.1)	<.001
Others-rape, electric	323 (2.3)	35,461 (4.6)	<.001
Unknown	635 (4.6)	13,722 (1.8)	<.001
Missing data	641 (4.7)	156,652 (20.5)	<.001
Emergent symptom			
Yes	13351 (97)	69,7118 (91.2)	<.001
No	409 (3)	67,228 (8.8)	<.001
Unspecified	0 (0)	5 (0)	>.99
AVPU^c scale			
Alert	5403 (39.3)	579,669 (75.8)	<.001
Verbal response (drowsy)	1393 (10.1)	12,085 (1.6)	<.001
Painful response (stupor, semicoma)	3218 (23.4)	5581 (0.7)	<.001

Variables	Deceased (N=13,760), n (%)	Survived (N=764,351), n (%)	P value
Unresponsive (coma)	3049 (22.2)	847 (0.1)	<.001
Unspecified response	697 (5.1)	166,169 (21.7)	<.001
Sex			
Male	9050 (65.8)	434,280 (56.8)	<.001

^aECMO: extracorporeal membrane oxygenation.

^bKTAS: Korean Triage and Acuity Scale.

^cAVPU: Alert/Verbal/Painful/Unresponsive.

K-Fold Cross-Validation Results

Table 3 summarizes the 5-fold cross-validation results. Our model used all 914 features, including ICD-10, and provided the highest balanced accuracy (0.8718) and AUROC (0.9513) values. Among the machine learning models, AdaBoost provided the highest balanced accuracy (0.8603) and AUROC (0.9442). Any ensemble models from the combination of AdaBoost, XGBoost, and LightGBM did not improve accuracy above our model or AdaBoost. Compared to our model, traditional methods produced lower balanced accuracy and AUROC values. More specifically, inclusive SRR resulted in a lower balanced accuracy

of 0.7888 and AUROC of 0.8266, while exclusive SRR resulted in 0.7931 and 0.8737, and EMR-ISS yielded 0.7571 and 0.6108, respectively. KTAS resulted in an even lower balanced accuracy of 0.5372 and AUROC of 0.1057.

Of the models considering 866 features of ICD-10 only, DNN demonstrated the highest balanced accuracy (0.8234) and AUROC (0.8975), followed by AdaBoost, the ensemble of AdaBoost and XGBoost, and the ensemble of AdaBoost and LightGBM. However, the models generated much lower balanced accuracy and AUROC values compared to models considering 48 features, excluding ICD-10.

Table 3. Results of the 5-fold cross-validation.

Model	Sensitivity, mean (SD)	Specificity, mean (SD)	Accuracy, mean (SD)	Balanced accuracy, mean (SD)	AUROC ^a , mean (SD)
Using all 914 features (including ICD-10^b)					
Proposed model (DNN ^c)	0.8599 (0.0151)	0.8838 (0.0097)	0.8834 (0.0093)	0.8718 (0.0036)	0.9513 (0.0023)
AdaBoost ^d	0.818 (0.0100)	0.9025 (0.0006)	0.9010 (0.0005)	0.8603 (0.0048)	0.9442 (0.0020)
XGBoost ^e	0.8105 (0.0085)	0.8865 (0.0011)	0.8854 (0.0010)	0.8485 (0.0037)	0.9354 (0.0018)
LightGBM ^f	0.8112 (0.0080)	0.8861 (0.0018)	0.8848 (0.0016)	0.8486 (0.0032)	0.9354 (0.0019)
AdaBoost+XGBoost	0.8109 (0.0073)	0.8882 (0.0013)	0.8868 (0.0012)	0.8496 (0.0034)	0.9367 (0.0017)
AdaBoost+LightGBM	0.8118 (0.0081)	0.8875 (0.0014)	0.8862 (0.00130)	0.8497 (0.0035)	0.9367 (0.0018)
XGBoost+LigtGBM	0.8104 (0.0079)	0.8865 (0.0010)	0.8851 (0.0009)	0.8484 (0.0035)	0.9354 (0.0018)
AdaBoost+XGBoost+LightGBM	0.8107 (0.0075)	0.8871 (0.0011)	0.8857 (0.0010)	0.8489 (0.0033)	0.9361 (0.0018)
Using 866 features (ICD-10 only)					
DNN	0.8294 (0.0153)	0.8175 (0.009)	0.8177 (0.0086)	0.8234 (0.0037)	0.8975 (0.0023)
AdaBoost	0.7586 (0.0157)	0.8493 (0.0048)	0.8477 (0.0045)	0.8039 (0.0057)	0.8796 (0.0030)
XGBoost	0.6575 (0.0141)	0.8939 (0.0035)	0.8897 (0.0032)	0.7757 (0.0055)	0.8627 (0.0033)
LightGBM	0.6585 (0.0115)	0.8937 (0.0024)	0.8896 (0.0022)	0.7761 (0.0049)	0.8635 (0.0037)
AdaBoost+XGBoost	0.6637 (0.0065)	0.8922 (0.0017)	0.8882 (0.0016)	0.7780 (0.0027)	0.8785 (0.0029)
AdaBoost+LightGBM	0.6640 (0.0076)	0.8918 (0.0012)	0.8878 (0.0011)	0.7779 (0.0032)	0.8786 (0.0031)
XGBoost+LigtGBM	0.6590 (0.0117)	0.8932 (0.0024)	0.8891 (0.0022)	0.7761 (0.0048)	0.8635 (0.0035)
AdaBoost+XGBoost+LightGBM	0.6624 (0.0070)	0.8924 (0.0017)	0.8883 (0.0016)	0.7774 (0.0029)	0.8784 (0.0028)
Using 48 features (excluding ICD-10)					
DNN	0.8003 (0.0266)	0.9072 (0.0161)	0.9053 (0.0154)	0.8537 (0.0068)	0.9398 (0.003)
AdaBoost	0.8148 (0.0125)	0.8922 (0.0022)	0.8908 (0.0022)	0.8535 (0.0062)	0.9380 (0.0025)
XGBoost	0.8294 (0.0056)	0.863 (0.0033)	0.8623 (0.0032)	0.8462 (0.0018)	0.9328 (0.0022)
LightGBM	0.8323 (0.0044)	0.8619 (0.0032)	0.8614 (0.0032)	0.8471 (0.0018)	0.9328 (0.0021)
AdaBoost+XGBoost	0.8303 (0.0058)	0.8635 (0.0029)	0.8630 (0.0028)	0.8469 (0.0019)	0.9337 (0.0022)
AdaBoost+LightGBM	0.8314 (0.0052)	0.8634 (0.0028)	0.8628 (0.0027)	0.8474 (0.002)	0.9336 (0.0020)
XGBoost+LigtGBM	0.8321 (0.0046)	0.8618 (0.0032)	0.8613 (0.0031)	0.847 (0.0020)	0.9328 (0.0021)
AdaBoost+XGBoost+LightGBM	0.8312 (0.0052)	0.8630 (0.0024)	0.8624 (0.0024)	0.8471 (0.0022)	0.9333 (0.0021)
Traditional methods^g					
Inclusive SRR ^h	0.8953	0.6823	0.7893	0.7888	0.8266
Exclusive SRR	0.8272	0.7590	0.7936	0.7931	0.8737
EMR-ISS ⁱ	0.7867	0.7276	0.7572	0.7571	0.6108
KTAS ^j	0.9353	0.1390	0.5495	0.5372	0.1057

^aAUROC: area under the receiver operating characteristic.

^bICD-10: International Classification of Disease 10th Revision.

^cDNN: deep neural network.

^dAdaBoost: Adaptive Boosting.

^eXGBoost: Extreme Gradient Boosting.

^fLightGBM: light gradient boosting machine.

^gOnly yielded a single value, so no SD is reported.

^hSRR: survival risk ratio.

ⁱEMR-ISS: Mortality Ratio-adjusted Injury Severity Score.

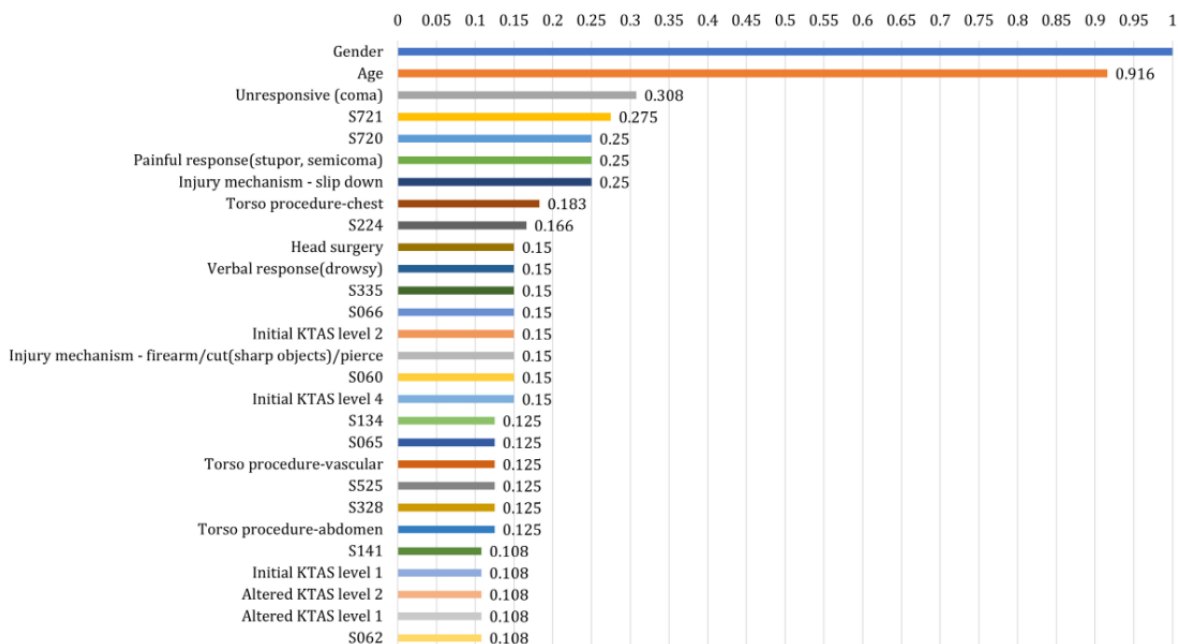
KTAS: Korean Triage and Acuity Scale.

Ranked Feature Importance: Explainable AI

To analyze the effects of features, we first applied the data to 3 different machine learning algorithms: AdaBoost, XGBoost, and LightGBM. As summarized in Table 3, the AdaBoost model

was the best classifier for predicting mortality in trauma patients. We then performed the feature importance analysis (see Figure 3 for ranked normalized feature importance) to confirm the contribution of each feature.

Figure 3. Results of the ranked normalized feature importance from the Adaptive Boosting (AdaBoost) model. KTAS: Korean Triage and Acuity Scale.



Based on the AdaBoost, gender had the highest importance value, followed by age, unresponsive (coma), S721 (pertrochanteric fracture of the femur), S720 (fracture of neck of femur), painful response (stupor, semicoma), injury mechanism-slip down, and torso procedure-chest. Among the 914 features, only 71 (7.77%) features had nonzero values indicating that the other 843 features did not contribute to mortality prediction. Table S4 in Multimedia Appendix 1 shows the complete ranked normalized feature importance values. All features with the highest importance value showed a statistically significant difference between the deceased and surviving group (Table 2 and Table S3 in Multimedia Appendix 1).

Cross-Validation Result of DNNs Using a Different Set of Features According to Importance

We investigated the cross-validation performance from our DNN model with 2 input conditions: (1) the top 71 features having nonzero feature importance values from the AdaBoost, the best among the machine learning models; and (2) all 914 features (Table S5 in Multimedia Appendix 1). The DNN with all 914 features provided a higher balanced accuracy of 0.8718 and AUROC of 0.9513 compared to the DNN with the top 71 features, which had a balanced accuracy of 0.8389 and AUROC of 0.9386. Features with 0 values of feature importance can contribute to mortality prediction. Sensitivity increased by more than 0.1 for the former, whereas specificity decreased by less than 0.05. For the latter, sensitivity increased to 0.8599 from 0.7480, and specificity decreased to 0.8838 from 0.9299. Therefore, we considered all features in our AI model and validated the performance with the isolated testing data.

Testing Data Results

With the testing data set (n=155,623), our proposed ensemble-based 9-layer DNN showed a sensitivity of 0.8768, specificity of 0.8625, accuracy of 0.8628, balanced accuracy of 0.8697, and AUROC of 0.9507. Furthermore, compared with the cross-validation results, the model was neither overfitted nor underfitted, with minimal differences between cross-validation and testing data results: sensitivity of 0.8599 versus 0.8768, specificity of 0.8838 versus 0.8625, accuracy of 0.8834 versus 0.8628, balanced accuracy 0.8718 versus 0.8697, and AUROC of 0.9513 versus 0.9507.

Our proposed ensemble of deep neural networks (EDNN) using all 914 features demonstrated higher values of balanced accuracy and AUROC than any other model (Table 4). Models with 48 features provided the next most accurate prediction results. These results showed the same trend as the cross-validation results. Figure 4 shows the AUROC curves for our model, AdaBoost, XGBoost, and LightGBM, which are plotted according to the following features: all 914 features, 48 features excluding ICD-10, and 866 features with ICD-10 only. Our model outperformed the traditional methods such as inclusive SRR, exclusive SRR, EMR-ISS, and KTAS. Figure 5 shows the AUROC curves for our model and 4 traditional models. The calculated inclusive SRR and exclusive SRR are shown in Table S6 in Multimedia Appendix 1. Finally, the model using the top 71 features from the AdaBoost also provided a lower balanced accuracy of 0.8245 and AUROC of 0.9194, similar to the cross-validation results.

Table 4. Comparison of the prediction performances of the prediction models on the test data set.

Model	Sensitivity	Specificity	Accuracy	Balanced accuracy	AUROC ^a
Using all 914 features (including ICD-10^b)					
Proposed model (DNN ^c)	0.8768	0.8625	0.8628	0.8697	0.9507
AdaBoost ^d	0.8619	0.8655	0.8654	0.8637	0.9433
XGBoost ^e	0.8292	0.8660	0.8653	0.8476	0.9331
LightGBM ^f	0.8601	0.8365	0.8369	0.8483	0.9332
Using 866 features (ICD-10 only)					
DNN	0.8365	0.8159	0.8162	0.8262	0.8964
AdaBoost	0.7896	0.8319	0.8312	0.8108	0.8773
XGBoost	0.7660	0.8348	0.8336	0.8004	0.8564
LightGBM	0.7729	0.8285	0.8276	0.8007	0.8565
Using 48 features (excluding ICD-10)					
DNN	0.8347	0.8784	0.8776	0.8565	0.9383
AdaBoost	0.8354	0.8660	0.8655	0.8507	0.9363
XGBoost	0.8339	0.8565	0.8561	0.8452	0.9318
LightGBM	0.8299	0.8597	0.8592	0.8448	0.9318
Traditional methods					
Inclusive SRR ^g	0.8964	0.6831	0.8926	0.7898	0.8699
Exclusive SRR	0.8733	0.7078	0.8703	0.7905	0.8224
EMR-ISS ^h	0.7874	0.7231	0.7863	0.7552	0.6171
KTAS ⁱ	0.9359	0.0121	0.9178	0.4740	0.1841
Others					
DNN using top 71 features from AdaBoost	0.7129	0.9362	0.9322	0.8245	0.9194

^aAUROC: area under the receiver operating characteristic.

^bICD-10: International Classification of Disease 10th Revision.

^cDNN: deep neural network.

^dAdaBoost: Adaptive Boosting.

^eXGBoost: Extreme Gradient Boosting.

^fLightGBM: light gradient boosting machine.

^gSRR: survival risk ratio.

^hEMR-ISS: Mortality Ratio-adjusted Injury Severity Score.

ⁱKTAS: Korean Triage and Acuity Scale.

Figure 4. Area under the receiver operating characteristic (AUROC) curves for our model, Adaptive Boosting (AdaBoost), Extreme Gradient Boosting (XGBoost), and light gradient boosting machine (LightGBM): (left) using all 914 features including International Classification of Diseases 10th Revision (ICD-10), (middle) using 48 features excluding ICD-10, and (right) using 866 features with ICD-10 only. DNN: deep neural network.

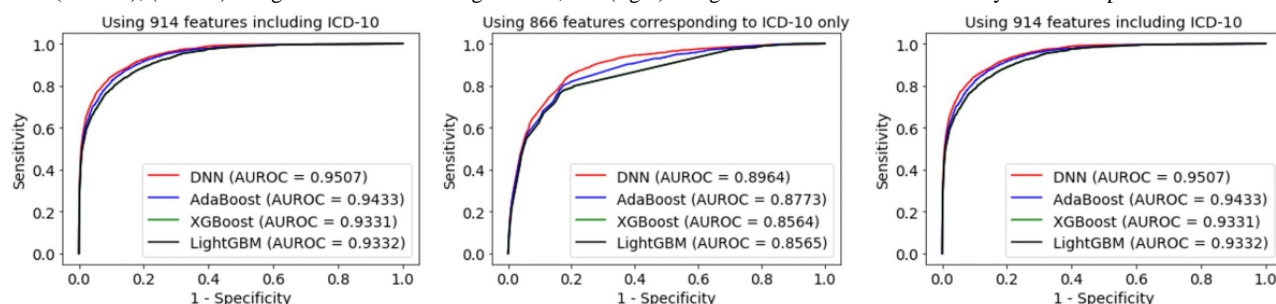
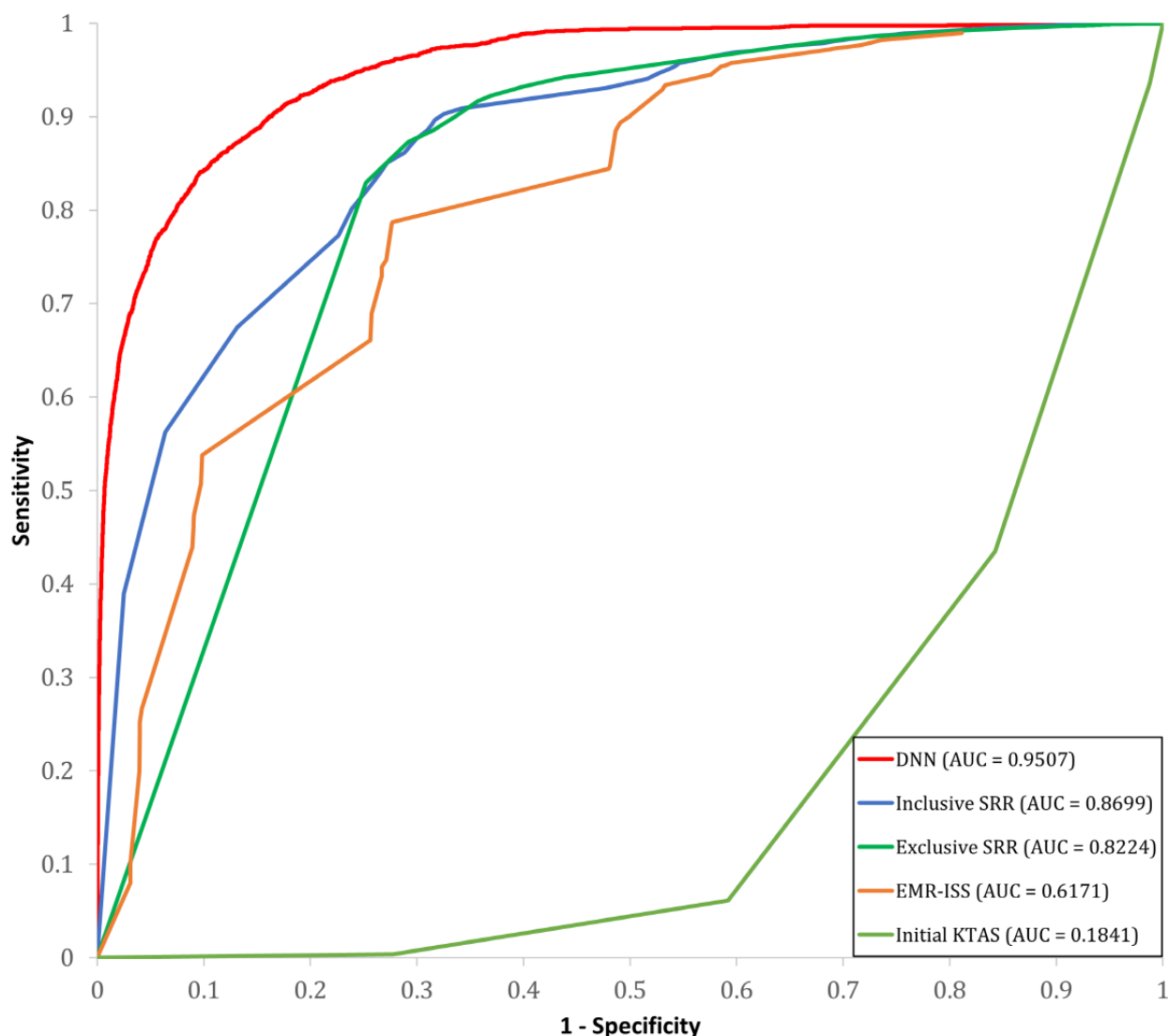


Figure 5. Area under the receiver operating characteristic (AUROC) curves of our model and 4 traditional models. AUC: area under the curve; DNN: deep neural network; EMR-ISS: mortality ratio-adjusted Injury Severity Score; KTAS: Korean Triage and Acuity Scale; SRR: survival risk ratio.



AI-Driven Public Website Development

We deployed our AI on a public website [27] to allow public access to the mortality prediction results in trauma patients (Figure S1 in [Multimedia Appendix 1](#)). Figure S1(a) shows a user's web interface to enter information. A user inputs age, gender, intentionality, injury mechanism, emergent symptoms, AVPU scale, initial KTAS, altered KTAS, torso procedures (chest, abdomen, vascular, and heart), head surgery, ECMO, and ICD-10 codes. Especially for ICD codes, a user can input multiple codes with a comma (eg, S072, S224, T083). As shown in Figure S1(b), after entering information in the web application, the user can immediately obtain the mortality results. The prediction results also include the probability of mortality.

Discussion

Principal Findings

Our AI model outperformed traditional ICD-10-based models and KTAS. Traditional methods produced high sensitivity and low specificity, with substantial bias in predicting mortality.

Prediction performance was optimal when using all features, including ICD-10, as input features. The similarity between the cross-validation result and the testing data set indicates that overfitting or underfitting was minimal. In terms of ranked normalized feature importance, gender had the highest value, followed by age, coma, femur fracture, stupor, slip down, rib fracture, and head procedure. We used a population-based data set from all types of ED in South Korea, producing more robust and reliable results. To the best of our knowledge, our study is the first to demonstrate an AI model that drastically outperforms conventional ICD-based models and triage scales using a population-based data set. Our future goal is to construct a more comprehensive model incorporating both NEDIS-based and AIS-based AI [17].

Our proposed AI model has several advantages in clinical practice. First, a specialist is not required for AIS coding, so our AI model does not require additional burden. Second, our AI model demonstrates the ability to augment the KTAS provider's decision. Third, the feature importance used may benefit clinical decision-making and future research. Deep learning is generally considered a "black box," hence the feature

importance analysis based on a machine learning algorithm provides meaningful insight to clinicians and researchers. Finally, we aspire for the global application of our model and have produced a publicly available web application for hospitals to utilize for the benefit of the entire trauma system [28,29].

Currently, ISS and ICISS are the most popular risk estimation models of trauma-related mortality. More complex models containing physiologic and demographic parameters are available [2,4,5,7], but none supersedes ISS or ICISS [1,9]. ISS is simple to use, but AIS coding is time consuming and expensive, whereas ICISS utilizes diagnostic code to claim charges. Therefore, ICISS is more useful for population-based data sets than ISS [8]. The results from ICISS in our study were comparable to those from previous studies [26,30]. We also applied EMR-ISS to the NEDIS data set, which showed good performance in a previous study [11] but poor accuracy here.

Recently, several AI models were proposed to predict trauma-related mortality. Previously, in a multicenter retrospective study in South Korea, we investigated a deep learning model using the AIS code for predicting mortality [17]. We reanalyzed the ISS system and redefine 46 new regions to discriminate the risk among different internal organs. The DNN with 46 features from the 46 new regions produced the highest accuracy. We found that the AI model can augment the performance of the AIS system. Recently, Tran et al [18] reported a machine-learning model that predicted trauma-related mortality using ICD-10. The authors used the NTDB data set and compared machine learning with ISS and TMPM10 [10], an ICD-10-based metric. However, the accuracy of each model was comparable. In this study, our AI model drastically outperformed ICISS and EMR-ISS. Kwon et al [31], in a retrospective observational study using a NEDIS data set including trauma and nontrauma patients, reported a deep learning-based model that showed a higher accuracy than KTAS for predicting in-hospital mortality. To the best of our knowledge, our AI model is the most accurate model and outperforms both diagnostic code-based metrics and triage scales in trauma patients.

Limitations and Future Works

Our study has several limitations. First, this is a retrospective study and may induce substantial selection and survival bias; further prospective trials and validation are needed. Second, we used procedure codes as 1 of the input features. However, they are not practically available during ED admission. Thus, in a prospective study, unconfirmed procedure codes may be used for predicting in-hospital mortality. Third, in this study, we did not consider physiological signals, such as blood pressure,

heart rate, and body temperature. We tried to train and develop an AI model using the information of physiological signals. However, the model's performance was poor because limited physiological signals were recorded in NEDIS; only blood pressure, heart rate, and temperature values at the time of admission were recorded. We believe that time-series physiological signals, such as electrocardiogram, photoplethysmogram, and blood pressure waveform, could improve our proposed model. Fourth, due to the structure of the NEDIS data set, some data, such as age, are collected as categorized data instead of continuous data. Thus, our proposed AI model could enhance the prediction performance with age as a continuous value. Fifth, some categorized input variables in the injury mechanism may appear inappropriate. For instance, the term "traffic accident-pedestrian, train, airplane, ship, etc" is considered 1 variable. However, pedestrians are not associated with an airplane and a ship. In addition, pedestrians have the highest mortality in road traffic collisions. Thus, the term should be separated into multiple variables. In future work, we plan to separate the variable into multiple categories and investigate the impact of each category. Sixth, we could not compare the prediction performances from our AI model with those from AIS code-based approaches such as ISS and NISS, as NEDIS does not provide AIS codes. Recently, we presented an AI model using AIS codes to predict in-hospital mortality [17]. The model outperformed conventional methods such as ISS and NISS for all accuracy metrics of sensitivity, specificity, balanced accuracy, and AUROC. As in the previous study, this study used ICD-10 and several clinical features instead of AIS codes and showed that the AI model outperformed conventional methods. Our goal is to construct a more comprehensive model incorporating both NEDIS-based and AIS-based AI models. Finally, our data did not include other races or data from other countries. Currently, our public website includes the following text: "This AI model was trained and evaluated from Korean trauma patients and may not be applicable to patients in other countries." Thus, future external validation is warranted, wherein we consider using global data to further improve our proposed AI model.

Conclusions

Our proposed AI model shows high accuracy and outperforms traditional diagnostic code-based prediction models and triage scales. We believe that our population-based AI model can facilitate better understanding and practice in physical trauma care. Moreover, this AI and data-driven prediction model may minimize the bias and workload of humans. However, future external validation and prospective studies are warranted to prove the true effect size.

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Data Availability

The data are not publicly available due to restrictions from National Emergency Department Information System (NEDIS) policy and belong to the National Emergency Medical Center (NEMC) of Korea. NEMC provides deidentified NEDIS data to researchers for nonprofit academic research. Details for accessing the raw data and guide are available on the NEMC website.

Authors' Contributions

All the authors wrote the manuscript and created the figures. WSK and JL were responsible for the study concept and design. SSL and HK carried out the simulation. SSL, HK, WSK, and JL performed the statistical analysis. SSL, SHS, DWK, WSK, and JL interpreted the data. All authors critically reviewed and agreed to the submission of the final manuscript. SSL, WSK, SHS, and DWK contributed equally to this work and should be considered the first coauthors.

Conflicts of Interest

None declared.

Multimedia Appendix 1

Tables S1 to S6 and Figure S1.

[\[DOCX File, 463 KB-Multimedia Appendix 1\]](#)

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Abbreviations

- AdaBoost:** Adaptive Boosting
- AI:** artificial intelligence
- AIS:** Abbreviated Injury Scale
- AUROC:** area under the receiver operating characteristic
- CTAS:** Canadian Triage and Acuity Scale
- DNN:** deep neural network
- ECMO:** extracorporeal membrane oxygenation
- ED:** emergency department

EDNN: ensemble of deep neural networks
EMR-ISS: mortality ratio-adjusted Injury Severity Score
FC: fully connected
GCS: Glasgow Coma Scale
ICD: International Classification of Diseases
ICD-10: International Classification of Diseases 10th Revision
ICISS: International Classification of Diseases–based Injury Severity Score
ICU: intensive care unit
ISS: Injury Severity Score
KTAS: Korean Triage and Acuity Scale
LightGBM: light gradient boosting machine
NEDIS: National Emergency Department Information System
NTDB: National Trauma Database
Ps: probability of survival
SMOTE: Synthetic Minority Oversampling Technique
SRR: survival risk ratio
TMPM-ICD10: trauma mortality models using ICD-10
XGBoost: Extreme Gradient Boosting

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