

Original Paper

A Real-Time Early Warning System for Monitoring Inpatient Mortality Risk: Prospective Study Using Electronic Medical Record Data

Chengyin Ye^{1*}, PhD; Oliver Wang^{2*}, BA; Modi Liu², BS; Le Zheng^{3,4}, PhD; Minjie Xia², BS; Shiyong Hao^{3,4}, PhD; Bo Jin², MS; Hua Jin², MS; Chunqing Zhu², MS; Chao Jung Huang⁵, PhD; Peng Gao^{6,7}, PhD; Gray Ellrodt⁸, MD; Denny Brennan⁹, MEd, MBA; Frank Stearns², MHA; Karl G Sylvester⁷, MD; Eric Widen², MHA; Doff B McElhinney^{3,4}, MD; Xuefeng Ling^{4,7}, PhD

¹Department of Health Management, Hangzhou Normal University, Hangzhou, China

²HBI Solutions Inc, Palo Alto, CA, United States

³Department of Cardiothoracic Surgery, Stanford University, Stanford, CA, United States

⁴Clinical and Translational Research Program, Betty Irene Moore Children's Heart Center, Lucile Packard Children's Hospital, Palo Alto, CA, United States

⁵National Taiwan University-Stanford Joint Program Office of AI in Biotechnology, Ministry of Science and Technology Joint Research Center for Artificial Intelligence Technology and All Vista Healthcare, Taipei, Taiwan

⁶Shandong University of Traditional Chinese Medicine, Shandong, China

⁷Department of Surgery, Stanford University, Stanford, CA, United States

⁸Department of Medicine, Berkshire Medical Center, Pittsfield, MA, United States

⁹Massachusetts Health Data Consortium, Waltham, CA, United States

* these authors contributed equally

Corresponding Author:

Xuefeng Ling, PhD

Department of Surgery

Stanford University

S370 Grant Bldg, 300 Pasteur Drive

Stanford, CA, 94305

United States

Phone: 1 6504279198

Email: bxling@stanford.edu

Abstract

Background: The rapid deterioration observed in the condition of some hospitalized patients can be attributed to either disease progression or imperfect triage and level of care assignment after their admission. An early warning system (EWS) to identify patients at high risk of subsequent intrahospital death can be an effective tool for ensuring patient safety and quality of care and reducing avoidable harm and costs.

Objective: The aim of this study was to prospectively validate a real-time EWS designed to predict patients at high risk of inpatient mortality during their hospital episodes.

Methods: Data were collected from the system-wide electronic medical record (EMR) of two acute Berkshire Health System hospitals, comprising 54,246 inpatient admissions from January 1, 2015, to September 30, 2017, of which 2.30% (1248/54,246) resulted in intrahospital deaths. Multiple machine learning methods (linear and nonlinear) were explored and compared. The tree-based random forest method was selected to develop the predictive application for the intrahospital mortality assessment. After constructing the model, we prospectively validated the algorithms as a real-time inpatient EWS for mortality.

Results: The EWS algorithm scored patients' daily and long-term risk of inpatient mortality probability after admission and stratified them into distinct risk groups. In the prospective validation, the EWS prospectively attained a c-statistic of 0.884, where 99 encounters were captured in the highest risk group, 69% (68/99) of whom died during the episodes. It accurately predicted the possibility of death for the top 13.3% (34/255) of the patients at least 40.8 hours before death. Important clinical utilization

features, together with coded diagnoses, vital signs, and laboratory test results were recognized as impactful predictors in the final EWS.

Conclusions: In this study, we prospectively demonstrated the capability of the newly-designed EWS to monitor and alert clinicians about patients at high risk of in-hospital death in real time, thereby providing opportunities for timely interventions. This real-time EWS is able to assist clinical decision making and enable more actionable and effective individualized care for patients' better health outcomes in target medical facilities.

(*J Med Internet Res* 2019;21(7):e13719) doi: [10.2196/13719](https://doi.org/10.2196/13719)

KEYWORDS

inpatients; mortality; risk assessment; electronic health records; machine learning

Introduction

Importance of an Early Warning System

The condition of some hospitalized patients rapidly deteriorates because of either disease progression or imperfect triage and level of care assignment after their admission. Evidence from observational studies show that signs of clinical deterioration (eg, abnormal vital signs) hours before a serious clinical event [1,2] are important predictors. Therefore, we hypothesize that an early warning system (EWS) to identify patients at high risk of subsequent intrahospital death can be an effective tool to improve patient safety and quality of care and also reduce avoidable harm and costs. For patients without a do-not-resuscitate (DNR) order, a warning from such an EWS can activate rapid response teams (RRTs) or medical emergency teams to offer more intensive care and enhanced attention to prevent hospital deaths [3,4]. For those patients with a DNR order, the notification can trigger health caregivers to counsel and work with patients' families to initiate the end-of-life care and deathbed farewell [5]. Therefore, an EWS to identify and alert caregivers of truly high-risk patients before their deterioration is recognized as an essential step toward the advancement of individualized medical interventions, the improvement of end-of-life patient care quality, and the reduction of unnecessary in-hospital mortality and associated health resource utilization.

Current Development of an Early Warning System

During the last decade, an increasing number of hospital systems have started to implement EWSs to monitor all adult patients in acute hospital settings and to identify adverse trends and patient deterioration [3,6]. A variety of EWSs have been developed using patients' postadmission clinical information [2,7-14]. For instance, the widely used VitalPAC Early Warning Score (ViEWS) is calculated from 7 vital sign parameters selected by a thorough literature review and was proven to outperform most other published systems when predicting in-hospital death within 24 hours postobservation [9,10]. However, in addition to using a limited number of parameters empirically selected by experts, we speculate whether such EWSs could be further improved in terms of both sensitivity

and specificity by integrating more clinical and nonclinical information (eg, disease diagnoses and social determinant data).

With the rapid growth of hospital adoption of electronic medical record (EMR) systems, other temporal clinical information is becoming available at the point of care and can be used to facilitate the prediction of in-hospital mortality. Several EMR-based risk models have been constructed using surgical record data, laboratory test results, and location transfer information [13-17]. Among these models, clinical utilization factors were rarely considered as potential predictors for inpatient mortality, even though they are valuable features from the aspect of hospital quality measurement.

Aim of This Study

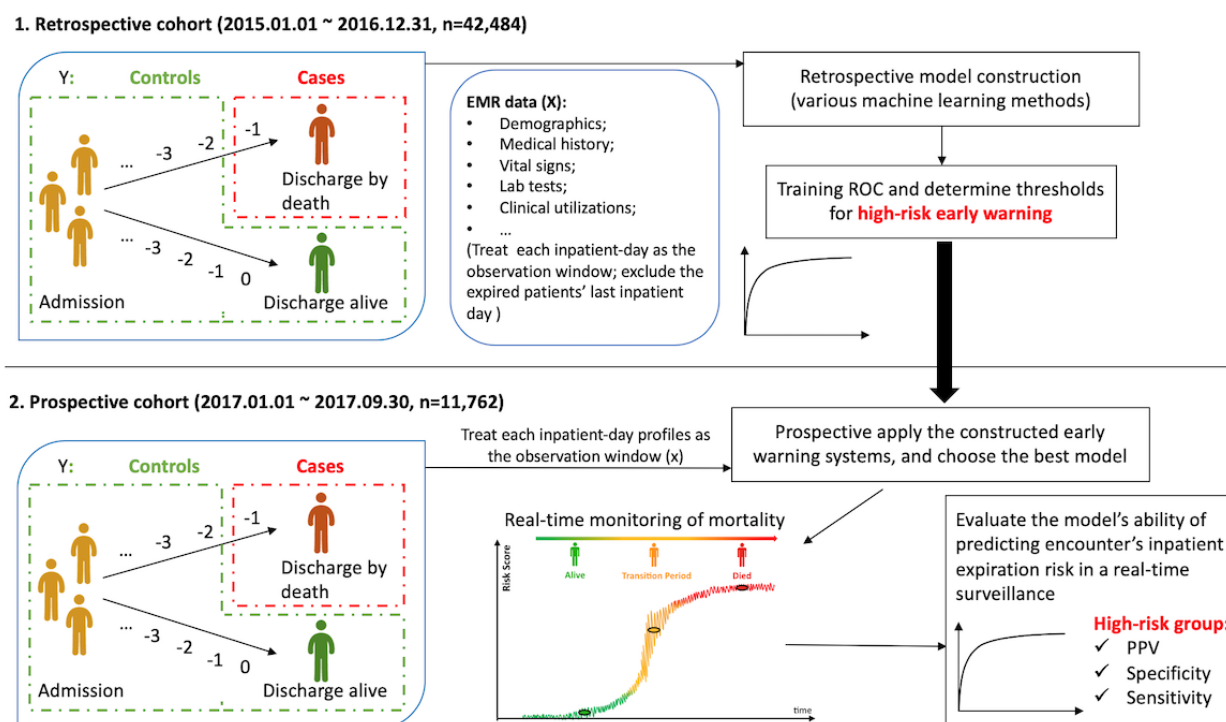
In this study, we aimed to build and prospectively validate an EMR-based inpatient mortality EWS. We expected that by adopting the machine learning algorithms, this EMR-based EWS could capture previously ignored but useful variables and attain an improved discriminative ability with higher levels of sensitivity and specificity. We evaluated its performance and addressed questions such as how early the system can prospectively alarm for a hospitalized mortality event. We also studied the association between impactful predictors (eg, historical clinical utilizations) and inpatient mortality under various circumstances.

Methods

Setting and Patient Population

The patient population is defined as the patients admitted to two acute hospitals (ie, Berkshire Medical Center and Fairview Hospital) within the Berkshire Health System (BHS), between January 1, 2015 and September 30, 2017. Patients included in the study were those admitted to a medical unit (including the intensive care unit) from either the emergency department (ED) or outpatient clinics, regardless of whether they had DNR orders. The details are shown in the study design workflow (Figure 1). BHS authorized the use of the deidentified data for this research, and thus all personal privacy information was masked during the process of analysis and publication. This study was also exempted from ethics review by the Stanford University institutional review board (September 25, 2018).

Figure 1. Study design. The early warning system model was built on the retrospective cohort (n=42,484) and validated on the prospective cohort (n=11,762). EMR: electronic medical record; PPV: positive predictive value; ROC: receiver operating characteristic.



Outcome Variables

Following the rationale that patients who died showed signs of clinical deterioration before death, we identified the cases of our study as the 24-hour period immediately before the day of death for those patients who died and classified all other 24-hour periods as the controls (Figure 1). We used the EMR profile collected before the future 24-hour period as the predictors of the following 24-hour period, making the prediction model capable of estimating the risk of death at least 24 to 48 hours before the event.

Predictor Variables and Feature Selection

In this study, we defined an inpatient day as a time period between 12:00 am and 11:59 pm in an episode. Within an encounter's observation window (ie, each inpatient day), candidate predictor variables were extracted from the hospital EMR system, comprising (1) a set of static historical medical variables and (2) a number of dynamic updated postadmission clinical information. By using the medical data cumulatively collected until a certain inpatient day after admission, the risk model was initially designed to predict a patient's probability of dying in the following inpatient day. Before the machine learning process, we carried out feature selection using both literature review for including impactful feature inclusion and a univariate filtering process for exclusion. As a result, we recruited 680 potential predictors into the subsequent analysis.

Retrospective Derivation and Prospective Evaluation of the Real-Time Inpatient Mortality Early Warning System

Retrospective Model Derivation

At the derivation stage, the real-time inpatient mortality model was constructed on the EMR data collected within a retrospective 2-year period during January 1, 2015 and December 31, 2016, with a total of 42,484 inpatient encounters (Figure 1). At this stage, multiple existing predictive machine learning algorithms (linear and nonlinear) were explored to construct the prediction model, including the tree-based random forest method [18], XGBoost [19], Boosting [20], Support Vector Machine [21], LASSO [22], and K-nearest neighbors [23]. Following this, the predicted outcomes were calibrated to the positive predictive values (PPVs) on the retrospective cohort. This allowed us to calculate the risk score of mortality for each inpatient day during the in-hospital episode and use the quintiles of these calibrated risk scores to stratify risk groups. The propensity score matching was also introduced to investigate the causal relation between high-weight chronic-based risk factors and the inpatient mortality outcome.

Prospective Model Evaluation

The constructed models were prospectively evaluated on inpatient admissions for the period January 1, 2017 to September 30, 2017. A total of 11,762 hospitalized patients were assigned an EWS score during this period. The discriminatory power of various algorithms was assessed and compared using the receiver operating characteristic (ROC) curve and the prospectively validated c-statistic. According to the prospective results, the model that attained the best performance was chosen as the proposed EWS. Using the final EWS, we also derived

the distribution of inpatient days across the spectrum of the calibrated risk scores and evaluated various risk bins for sensitivity, specificity, and PPVs. On the basis of these determined risk categories (*low*, *intermediate* and *high*), we prospectively explored their subsequent mortality rate using the Kaplan-Meier method and compared their hazard ratios (HRs) using Cox regression. We also conducted subgroup analysis to review the model’s utility on encounters with specific conditions (eg, DNR orders or high clinical costs in the past).

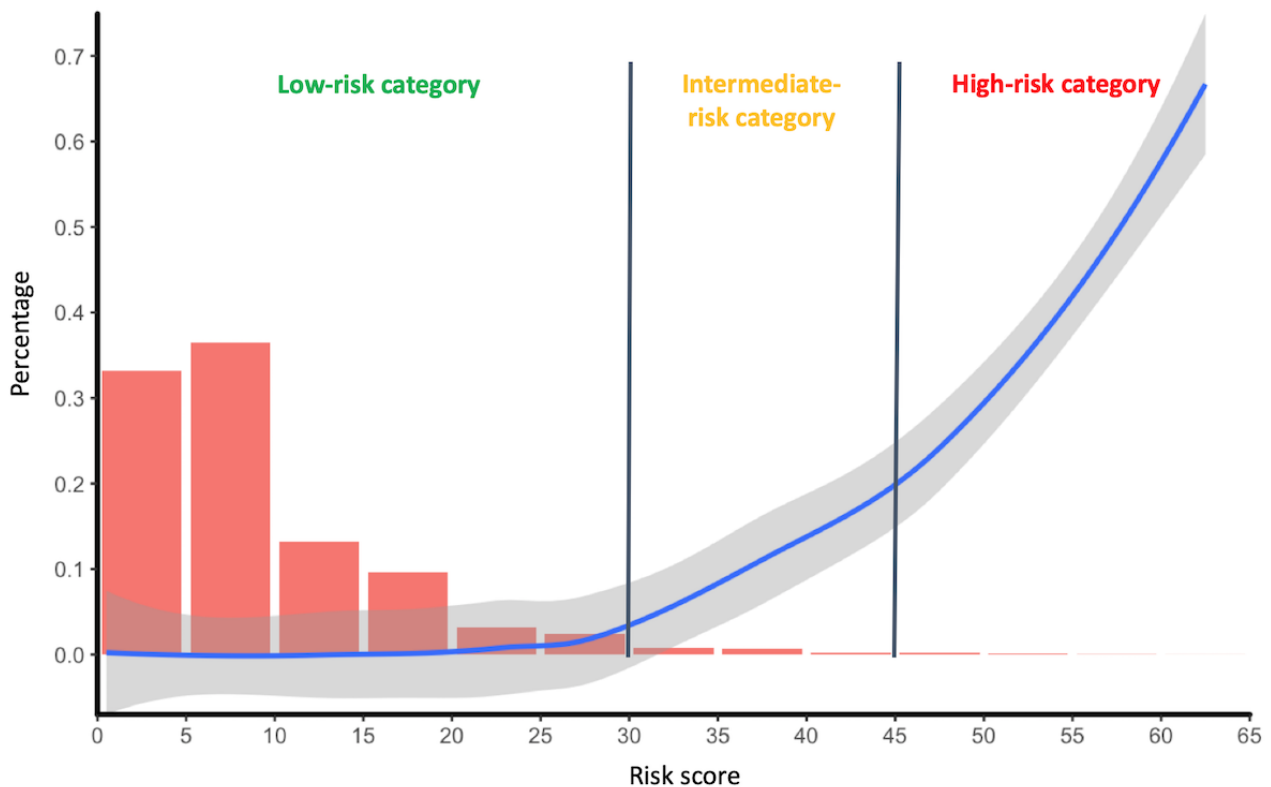
Results

Inpatient Mortality Early Warning System Performance on Inpatient-Day Level

The retrospective and prospective cohorts comprised 42,484 and 11,762 encounters, respectively; 2.34% (993/42,484) and 2.17% (255/11,762) of the patients in these cohorts died during their episode. The demographics and important characteristics

of these two cohorts were summarized in [Multimedia Appendix 1](#). After applying the various EWS algorithms to the prospective cohort, we compared their performance as measured by the ROC curve and validated c-statistic. The tree-based random forest algorithm attained the highest predicted c-statistic of 0.884, whereas other machine learning algorithms (linear and nonlinear) attained a predicted c-statistic between 0.511 and 0.867 ([Multimedia Appendix 2](#)). Thus, we chose the random forest algorithm–based EWS as the final proposed EWS, where we initially assigned a calibrated risk score to each inpatient day and then stratified these inpatient days into distinct risk groups across the spectrum of risk scores ([Figure 2](#)). For a total of 56,588 observed inpatient days, almost 69.66% (39,420/56,588) were located in the *low-risk* percentiles (ie, 0-10), with only 0.09% (35/39,420) of them being cases. Meanwhile, a total of 189 observations fell into the *high-risk* percentiles (ie, ≥ 45), with 31.2% (59/189) passing away in the subsequent 24 hours ([Multimedia Appendix 3](#)).

Figure 2. The distribution of inpatient days (the red bar) and positive predictive values (the blue line), coordinated with the inpatient-mortality risk scores on the prospective cohort.



Performance of the Early Warning System in Predicting Patients’ Overall Inpatient Mortality

In terms of long-term in-hospital mortality, the proposed EWS model captured 99 encounters with *high risk* of expiration (ie, risk score ≥ 45) and recognized 327 encounters as *intermediate-risk* individuals (ie, risk score 30-45) at the prospective validation stage ([Multimedia Appendix 4](#)). By further tracking the *high-risk* patients’ mortality rate for the subsequent 20 days, we confirmed that the EWS model successfully alerted clinicians to 40% (40/99) of the top risk encounters 24 to 48 hours before their death, notified another 17% (17/99) 48 to 72 hours before their death, and identified

the remaining 11% (11/99) 3 to 7 days ahead of their death, making the survival probability drop to 0.24 within 1 week after triggering the alarms ([Figure 3](#)). Furthermore, the mortality hazard ratio of the *high-risk* category is as high as 93.65 (95% CI 68.75-127.57) for the subsequent 20-day time period compared with that of the *low-risk* category. In addition, when focusing on the patients who passed away, the results illustrated that the EWS model successfully seized the top 13.3% (34/255) of the population at least 1.7 inpatient days (40.8 hours) before their death ([Figure 4](#)). These findings demonstrated that the proposed EWS had powerful discriminative ability to help notify caregivers of inpatient death in the longitudinal scale and assist in clinical decision making.

Figure 3. The observed survival curves of the 3 risk categories (encounter-level) stratified by the real-time early warning system in the prospective validation cohort. HR: hazard ratio.

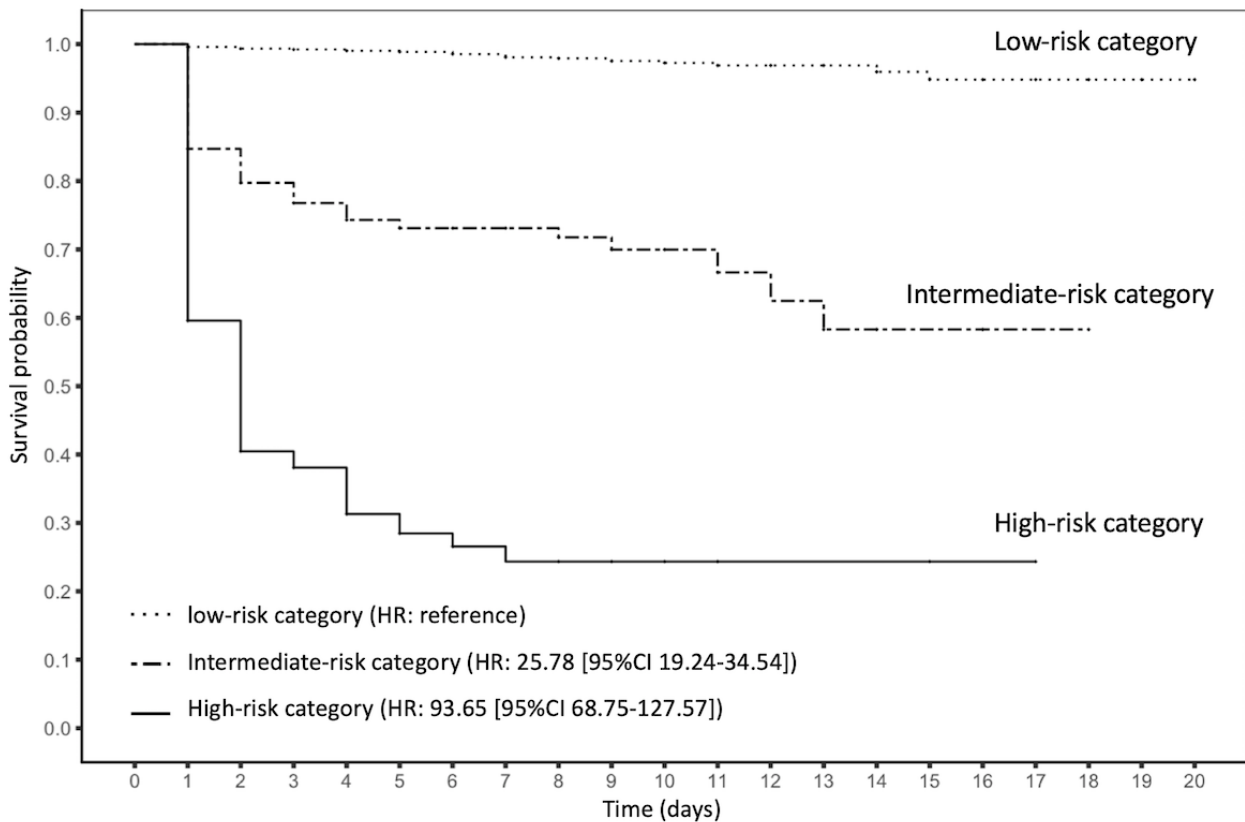
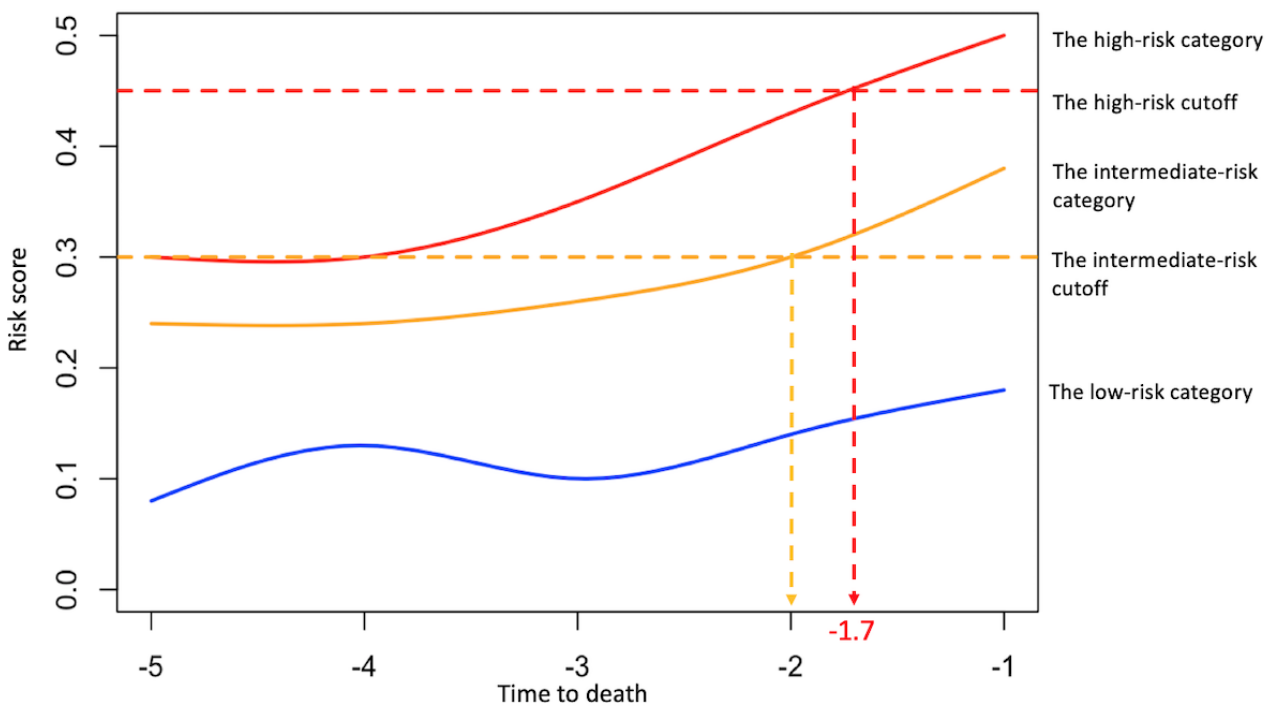


Figure 4. The median real-time risk score curves of the patients who passed away stratified by 3 risk categories of the prospective cohort.



Comparison With Currently Used Methods

Several EWSs have already been widely used in current hospital care to provide early warnings of clinical deterioration, such as the ViEWS, the National Early Warning Score, and the Modified

Early Warning Score [8,9,24-26]. The shared rationale underlying these common EWSs is that a patient’s deterioration can be estimated with a numeric score derived from a small number (<10) of core signs of physiological function including, but not limited to, heart rate, breathing rate, body temperature,

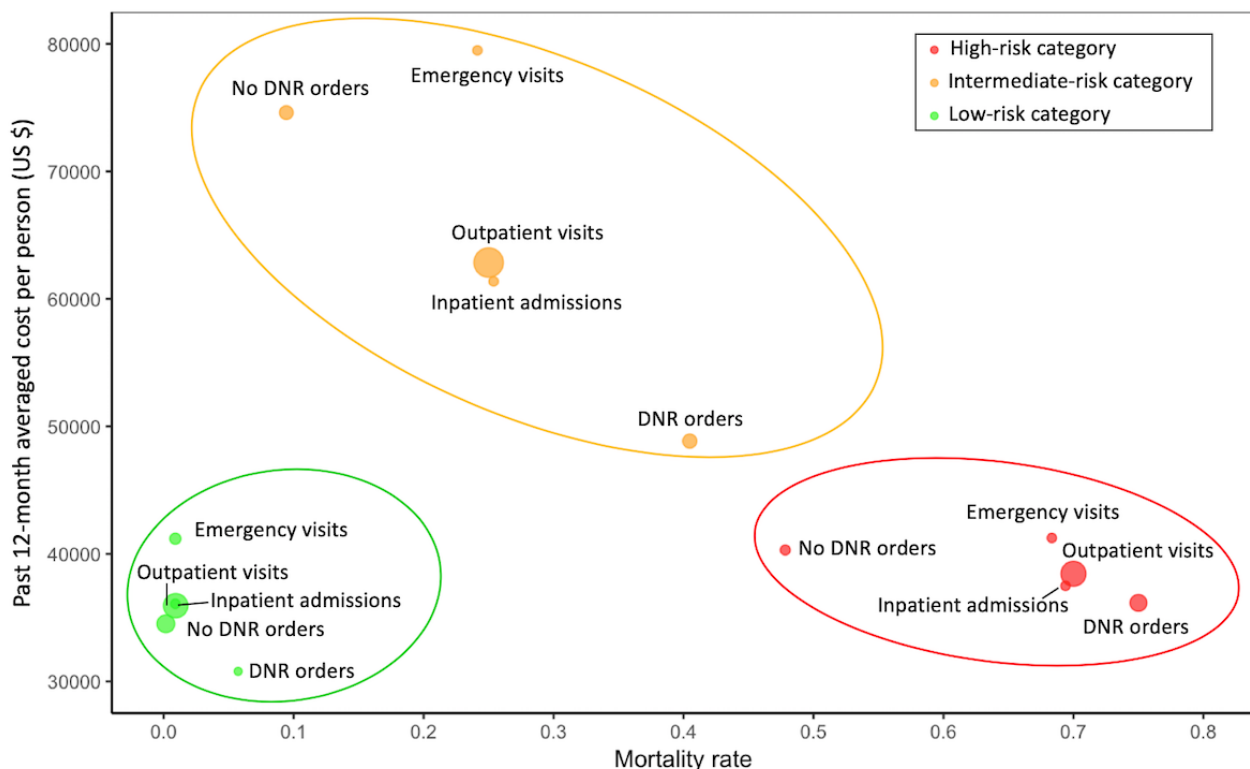
systolic blood pressure, oxygen saturation, urine output, and level of consciousness. Given that the used parameters have been recognized as vital to life (Multimedia Appendix 5), these EWSs can be readily implemented and are expected to have good predictive ability for life-threatening outcomes. However, by using only vital sign abnormalities, the existing methods attain a high specificity with a low sensitivity [27]. Meanwhile, other innovative EWSs have been implemented with better performance by extracting temporal clinical information from EMRs [13-15,17]. In this study, we hypothesize that integration of the real-time EMR datasets with vital signs, laboratory data, disease diagnosis, and clinical utilization indicators shall lead to an EWS with an improved performance in terms of both sensitivity and specificity. Therefore, we compared the proposed EWS with ViEWS, a well-recognized EWS leader, which was proven to outperform most other systems [9,10]. In this comparison, we applied the abbreviated ViEWS tool on the prospective dataset, which achieved a prospective c-statistic of 0.764, a much lower value than that of the EWS model (c-statistic=0.884; Multimedia Appendix 6). Furthermore, when considering only *high-risk* individuals, the EWS achieved a sensitivity of 26.7% (68/255) and a PPV of 69% (68/99), whereas the ViEWS method attained a much lower sensitivity of 13.7% (35/255) and a PPV of only 35% (35/99). When considering both *high* and *intermediate-risk* patients, the EWS attained a sensitivity of 59.2% (151/255) and a PPV of 35.4% (151/426), which were still much higher than that of ViEWS (a sensitivity of 35.7% (91/255) and a PPV of 21.4% (91/426); Multimedia Appendix 6).

Impactful Predictors in the Developed Early Warning System

We further adopted the Gini impurity [18] as the indicator of the variable importance, as it usually gives a much faster calculation while providing similar results to the out-of-bag permutation measure. By applying the Gini impurity measurement, we recognized 349 impactful predictors for inpatient mortality from the initial 600 input features. We listed the top 50 most significant features in Multimedia Appendix 7.

Among these features, the proposed EWS recognized several historical clinical utilization features as highly significant predictors of in-hospital deterioration, including ED visits, inpatient admissions, and outpatient visits and clinical costs in the prior 12 months. We grouped patients by the type (ie, emergency, inpatient and outpatient) of their hospital visits and prospectively compared their averaged prior-12-month clinical costs across the 3 determined risk categories, coordinated by their observed inpatient mortality rate (Figure 5). The results showed that these subgroups aggregated naturally into 3 identified risk clusters when plotting by the dimensions of historical clinical costs and observed mortality rates. For patients estimated as *high risk* of inpatient mortality, subgroups of emergency, inpatient, or outpatient encounters, all had higher observed mortality rates but lower clinical costs than those of the *intermediate-risk* patients. On the contrary, *intermediate-risk* patients had dramatic increase of their prior-12-month clinical costs, especially for patients with emergency visits who ended up with a modest rate of inpatient mortality.

Figure 5. The averaged prior-12-month clinical costs of distinct clinical utilization subgroups, coordinated by their observed mortality rates. Those subgroups are naturally clustered into 3 mortality risk categories of the prospective cohort. Size of each ball: the median of each group. DNR: do-not-resuscitate.



Furthermore, when focusing on these top impactful chronic-based risk factors, we found that only the diagnoses of cardiovascular diseases, congestive heart failure, or renal diseases were still significantly associated with the mortality outcome, whereas other chronic-based features failed to attain significance in terms of odds ratios (ORs) after applying the propensity score matching analysis in our study (Multimedia Appendix 8). The results of the propensity score matching analysis revealed the insignificant independent effects of some targeted chronic risk factors when matched with other significant risk factors. Therefore, we reason that, in the hospital inpatient mortality setting, instead of being the causality of the mortality outcome, some high-weight chronic-based risk factors could be causally related to the acute setting risk factors or interact with other risk factors (such as demographic characteristics), indirectly and interactively contributing to the prediction of the targeted mortality outcome.

Patients With and Without a Do-Not-Resuscitate Order

We further investigated the EWS model's discriminative ability in different subgroups of patients with specific diagnoses and conditions (Multimedia Appendix 9), especially patients with and without DNR orders. As confirmed in the validation results, the DNR order patients usually had a much higher inpatient mortality rate than that of the non-DNR order ones (Multimedia Appendix 10). Meanwhile, when looking only at the DNR-order encounters, their mortality rate was still stratified by the 3 distinct risk categories of the EWS; the mortality rate of DNR-order encounters reached its highest value of 75% (57/76) in the *high-risk* category, dropped to 40.5% (68/168) in the *intermediate-risk* category, and plunged to 5.73% (88/1,537) in the *low-risk* category (Multimedia Appendix 10 and Multimedia Appendix 4). This implied that even though some encounters were coded by DNR orders, they still varied significantly in their current in-hospital mortality risk.

Discussion

Summary of Principal Findings

In this study, we developed and prospectively validated a real-time EMR-based EWS of inpatient mortality, which predicted encounters' daily and longitudinal probability of inpatient mortality. With a total of 11,762 hospitalized encounters at the prospective validation stage, this model achieved a c-statistic of 0.884, prognosticated *high risk* of death for 99 encounters during their inpatient stay. For these *high-risk* encounters, 40% (40/99) were confirmed to have passed in the subsequent 24 hours, and 69% (68/99) were confirmed to have passed within 7 days after the notification, resulting in their mortality HR as high as 93.65 (95% CI 68.75-127.57) compared with that of the *low-risk* category. Furthermore, the EWS model successfully prognosticated the death of the top 13.3% (34/255) of the dead patients at least 1.7 days before their death.

In this study, we compared the EWS with the well-recognized EWS tool, ViEWS, and demonstrated that the EWS attained a much higher sensitivity and PPV when giving alerts for the *high-risk* patients. Compared with these existing EWSs, the proposed model involved not only traditional predictors of inpatient mortality, such as vital signs and laboratory data

[8,9,28,29], but also valuable historical medical features, such as certain disease diagnoses and clinical utilization indicators, which were usually not included in most previous studies [8,9,14]. However, these inpatient setting features, representing patients' baseline differences, can contribute indirectly to patients' distinct hospital mortality rate assessment [30]. In this study, the *intermediate-risk* population in our study, instead of the *high-risk* group, was found to have the highest historical medical costs (Figure 5). This may imply that some of these *high-risk* patients were already coded with DNR orders, directly reducing their clinical costs; others may have deteriorated too rapidly from a healthy status and therefore, never received adequate medical service before death, also resulting in low costs. Therefore, we believe that such historical information in EMR datasets are valuable sources of predictors of inpatient hospital mortality. These risk predictors may interact with other features to facilitate the identification of more true-positive patients, resulting in an improved sensitivity.

Implications of the Developed Early Warning System

In this study, random forest outperformed other commonly used algorithms on the prospective cohort. As an ensemble tree-based method, random forest has been proven to have high accuracy as it overcomes overfitting by selecting random subsets of features to build smaller trees and is able to handle potential errors caused by unbalanced case-control datasets (in this case, inpatient mortality, where only a relatively small proportion of patients suffered in-hospital death) [31]. In addition, random forest makes no assumptions regarding the predictor features' distributions and correlations and is able to capture features with weak effects as well as their high-level interactions, thus making it suitable for our EMR-based prediction based on multiple correlated covariates [32]. Along with the massively increased data, another well-recognized method, deep learning, is popularly used because of the recent breakthroughs in algorithm development. However, deep learning does not necessarily perform better than linear and nonlinear machine learning methods, as it usually returns a result that is difficult to interpret for domain specialists, and it is more computationally consuming and expensive, especially in the model development stage [33].

It is worth noting that in the prospective cohort, 31 of the 99 patients who were given alerts for *high risk* of inpatient mortality survived through the entire hospital encounter. After investigating those recovered patients, we found that most of them (25/31) received diagnoses of either cardiovascular diseases, renal disease, cancer, lung disease, or acute cerebrovascular disease, which implied severe acute or chronic disease conditions as well as the requirement of more intense care during their hospital stay. In such an early warning context, it is demonstrated elsewhere that sensitivity and PPV are always considered important indicators; however, these patients who were alerted as high risk yet later recovered may not necessarily be treated as falsely alarmed individuals, as caregivers could always provide clinical intervention or treatment to these high-risk patients during their deterioration process and potentially prevent their death events from occurring [34]. Thus, from the perspective of inpatient mortality reduction and clinical care promotion, it would be valuable to track and summarize

those efficient interventions or treatments provided to these high-risk but recovered patients, facilitating evidence-based clinical decision making and individualized care planning for other high-risk patients.

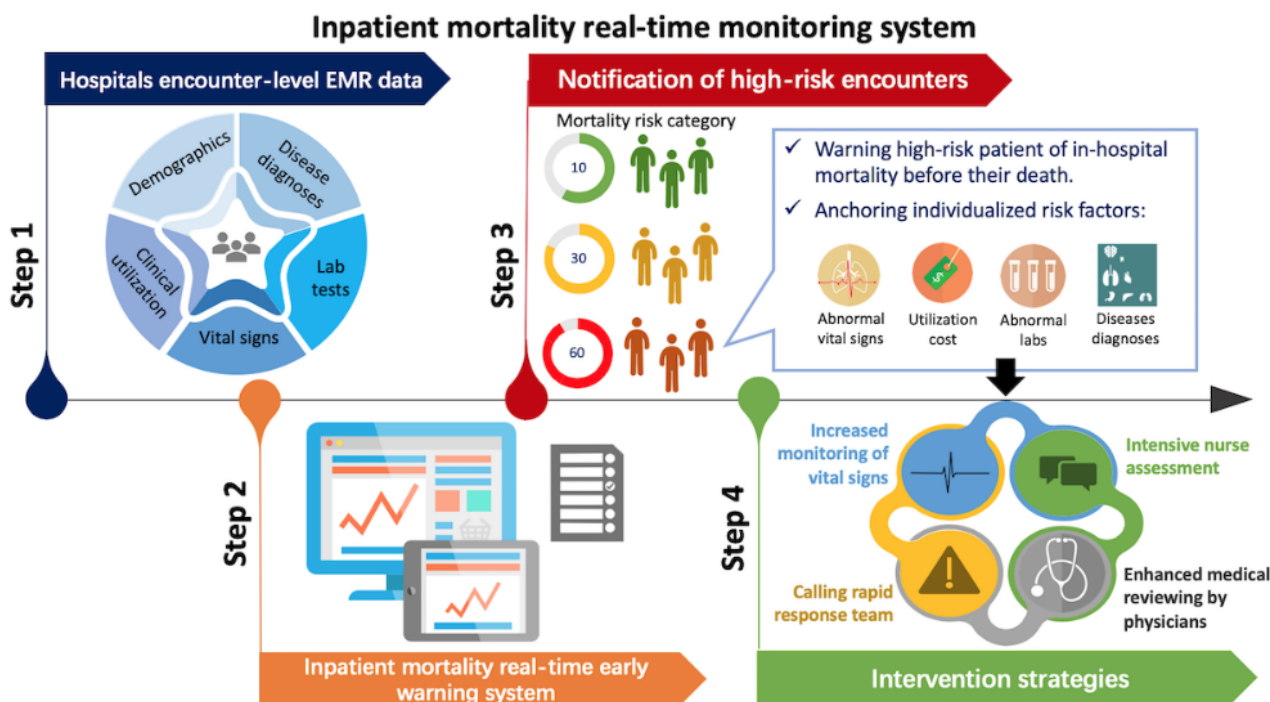
Many of the diseases currently being treated in the wards are major injuries, and these patients could become potential confounders when predicting the hospital inpatient mortality. However, patients with such major injuries are often difficult to define in the EMR system, as there is an issue with the preciseness of their diagnoses when using the International Classification of Diseases (ICD) codes. In this study, taking fracture as an example, we found that when using the standard ICD-10 definitions (Multimedia Appendix 11), the proportion of patients with a fracture diagnosis was relatively small in both the overall cohort and the *high-risk* category (ie, overall: 5.42% [639/11,762]; *high-risk* category: 8.10% [8/99]) and the OR was also not significantly different between cases and controls (OR 1.17; 95% CI 0.67-1.89). To address and verify the impact of major injuries as confounding factors, we hypothesized that young patients are more likely to die of major injuries, whereas the older patients mainly suffered from other severe conditions, and thus, we used age as a summarizing indicator of major injuries in our dataset. After investigating the age-stratified mortality across the identified risk categories (*high*,

intermediate, and *low*), we revealed that instead of young patients, most true positives in the identified *high* and *intermediate-risk* categories were older than 60 years (Multimedia Appendix 11), who were less likely to die of accidents or major injuries. Therefore, we concluded that in our study cohort, major injuries did not have a significant impact on the inpatient mortality prediction, but we should be careful to consider this confounding effect for applications in the future.

Utilization and Benefits of the Early Warning System

Previous studies have developed specific in-hospital mortality models suitable for a certain disease or condition, such as acute myocardial infarction [35,36] and congestive heart failure [37]. Compared with these models, the EWS model can be universally applied to all hospitalized patients without restricting them to a certain disease diagnosis. To assist clinical decision making, it can automatically send notifications to physicians and RRT when patients exceed the high-risk threshold, offering a chance at earlier detection of acute events. Furthermore, we can provide clinicians with the real-time risks and specific alerts of the impactful risk factors that the deteriorating patient has and give clinicians suggestions of individualized follow-up health care plans, such as increased monitoring of vital signs, intensive nurse assessments of the patient’s condition, and enhanced medical review by physicians [38] (Figure 6).

Figure 6. The implementation framework and workflow of the real-time early warning system (EWS), demonstrated in 4 steps: (1) import patient encounters’ electronic medical record (EMR) data into the EWS, (2) monitor their inpatient mortality risk scores every 15 min in the user interface after the deployment, (3) use predetermined thresholds to predict the encounters with high risk and intermediate risk of inpatient mortality in a real-time scenario, and (4) highlight or pop up individualized impactful risk factors to help design and implement the subsequent individualized intervention.



Compared with most studies focusing only on patients without DNR orders, the EWS targeted all hospitalized patients regardless of their status of DNR orders. In our study, we found that patients with DNR orders can still be differentiated in terms of their inpatient mortality risk ([Multimedia Appendix 10](#)). Previous studies also observed that hospitals' DNR rates could influence the inpatient mortality outcomes in different ways [39]. Therefore, DNR orders do not necessarily indicate the imminent death of the patients in hospital, and the early warning of their death event is important to help the palliative care providers offer supportive services for both patients and their families, such as relieving patients from the symptoms and stress of the illness and letting the family prepare for the deathbed farewell and bereavement. On the other hand, when considering non-DNR-order patients, the identification of their high-risk status could trigger an early warning, activate in-hospital RRTs to a more intensive intervention, and provide a chance to reduce the death or cardiac arrest rate.

In previous studies, limited evidence has been provided to support the conclusion that EWSs have a straightforward effect on the reduction of mortality and cardiac arrests [27,40,41]. With the deployment of the EWS in the BHS hospitals, we will investigate the EWS's long-term benefit on patient health and resource utilization outcomes.

Limitations

The proposed EWS is built on the EMR data from hospitals located in a relatively small region, and thus the model may not be directly applied to other regions and clinical settings. However, we established the framework and detailed workflow for the construction and validation of the EMR-based inpatient mortality EWS, which can easily be migrated to much broader settings and bigger datasets. In addition, we also consider patient-level social determinants as important and potential data source for in-hospital mortality prediction as most of them are long-term prognosis factors influencing the mortality outcome. Therefore, incorporating such data in the future will make the next-generation EWS model more compelling and robust.

Conclusions

In this study, by using modern machine learning algorithms, we have developed and prospectively validated an EWS for forecasting inpatient mortality based on patients' EMR data. This EWS prospectively achieved a high predictive accuracy in the validation stage. As a real-time surveillance system that will be integrated into the target medical facilities to assist clinical decision making in the near future, the EWS could trigger an early notification for the patients at high risk of in-hospital mortality, thereby letting clinicians initiate intensive care before the acute event and provide a chance of individualized management to improve the quality of health care.

Acknowledgments

The authors thank and express their gratitude to the medical practices, physicians, and nurses participating in two acute hospitals within the BHS. They thank the biostatistics colleagues at the Department of Health Research and Policy for critical discussions. They also thank the All Vista Healthcare Center, Ministry of Science and Technology, Taiwan for the support. CY is also supported by the National Natural Science Foundation of China (award #81402762).

Authors' Contributions

CY, OW, ML, LZ, MX, SH, BJ, HJ, and CZ carried out the initial analysis and interpretation of data and drafted the initial manuscript. FS, KGS, EW, DM, and XL conceptualized and designed the study and critically reviewed and revised the manuscript. GE and DB coordinated and supervised data acquisition and critically reviewed and revised the manuscript. All authors have read and approved this submission for publication. All authors have agreed to be accountable for all aspects of the work.

Conflicts of Interest

KGS, EW, and XBL are cofounders and equity holders of HBI Solutions, Inc, which is currently developing predictive analytics solutions for health care organizations. The research and research results are not, in any way, associated with Stanford University. There are no patents, further products in development, or marketed products to declare. The remaining authors have no conflicts of interest to declare.

Multimedia Appendix 1

Summarized demographics and baseline characteristics.

[\[DOCX File, 17KB-Multimedia Appendix 1\]](#)

Multimedia Appendix 2

The receiver operating characteristic curves of various algorithms at the prospective validation stage.

[\[DOCX File, 225KB-Multimedia Appendix 2\]](#)

Multimedia Appendix 3

The performance of the inpatient mortality early warning system on the prospective cohort, summarized in inpatient-day level positive predictive value, sensitivity, specificity, and relative risk.

[\[DOCX File, 13KB-Multimedia Appendix 3\]](#)

Multimedia Appendix 4

The patient distribution in the 3 risk categories identified by the early warning system in the prospective validation cohort.

[\[DOCX File, 13KB-Multimedia Appendix 4\]](#)

Multimedia Appendix 5

Summary of variables used in various currently used early warning systems.

[\[DOCX File, 33KB-Multimedia Appendix 5\]](#)

Multimedia Appendix 6

The performance comparison between the early warning system model and the well-recognized VitalPAC Early Warning Score method on the prospective dataset.

[\[DOCX File, 84KB-Multimedia Appendix 6\]](#)

Multimedia Appendix 7

The top 50 most important features contributed to the inpatient mortality early warning system.

[\[DOCX File, 21KB-Multimedia Appendix 7\]](#)

Multimedia Appendix 8

Odds ratios of the impactful chronic-based predictors before and after the propensity score matching analysis.

[\[DOCX File, 15KB-Multimedia Appendix 8\]](#)

Multimedia Appendix 9

The observed mortality rates in distinct patient subgroups, stratified by the low-risk (blue), intermediate-risk (yellow), and high-risk (red) categories identified by the early warning system on the prospective cohort.

[\[DOCX File, 150KB-Multimedia Appendix 9\]](#)

Multimedia Appendix 10

The inpatient mortality rate of do-not-resuscitate (DNR)-order (orange) and non-DNR-order (blue) populations in the 3 risk categories of the prospective cohort.

[\[DOCX File, 121KB-Multimedia Appendix 10\]](#)

Multimedia Appendix 11

Age-stratified mortality across the identified risk categories.

[\[DOCX File, 117KB-Multimedia Appendix 11\]](#)

References

1. Chan PS, Khalid A, Longmore LS, Berg RA, Kosiborod M, Spertus JA. Hospital-wide code rates and mortality before and after implementation of a rapid response team. *J Am Med Assoc* 2008 Dec 3;300(21):2506-2513. [doi: [10.1001/jama.2008.715](https://doi.org/10.1001/jama.2008.715)] [Medline: [19050194](https://pubmed.ncbi.nlm.nih.gov/19050194/)]
2. McGaughey J, Alderdice F, Fowler R, Kapila A, Mayhew A, Moutray M. Outreach and early warning systems (EWS) for the prevention of intensive care admission and death of critically ill adult patients on general hospital wards. *Cochrane Database Syst Rev* 2007 Jul 18(3):CD005529. [doi: [10.1002/14651858.CD005529.pub2](https://doi.org/10.1002/14651858.CD005529.pub2)] [Medline: [17636805](https://pubmed.ncbi.nlm.nih.gov/17636805/)]

3. Berwick DM, Calkins DR, McCannon CJ, Hackbarth AD. The 100,000 lives campaign: setting a goal and a deadline for improving health care quality. *J Am Med Assoc* 2006 Jan 18;295(3):324-327. [doi: [10.1001/jama.295.3.324](https://doi.org/10.1001/jama.295.3.324)] [Medline: [16418469](https://pubmed.ncbi.nlm.nih.gov/16418469/)]
4. Jones DA, DeVita MA, Bellomo R. Rapid-response teams. *N Engl J Med* 2011 Jul 14;365(2):139-146. [doi: [10.1056/NEJMra0910926](https://doi.org/10.1056/NEJMra0910926)] [Medline: [21751906](https://pubmed.ncbi.nlm.nih.gov/21751906/)]
5. Forero R, McDonnell G, Gallego B, McCarthy S, Mohsin M, Shanley C, et al. A literature review on care at the end-of-life in the emergency department. *Emerg Med Int* 2012;2012:486516 [FREE Full text] [doi: [10.1155/2012/486516](https://doi.org/10.1155/2012/486516)] [Medline: [22500239](https://pubmed.ncbi.nlm.nih.gov/22500239/)]
6. Armitage M, Eddleston J, Stokes T, Guideline Development Group at the NICE. Recognising and responding to acute illness in adults in hospital: summary of NICE guidance. *Br Med J* 2007 Aug 4;335(7613):258-259 [FREE Full text] [doi: [10.1136/bmj.39272.679688.47](https://doi.org/10.1136/bmj.39272.679688.47)] [Medline: [17673769](https://pubmed.ncbi.nlm.nih.gov/17673769/)]
7. Opio MO, Nansubuga G, Kellett J. Validation of the VitalPAC™ early warning score (ViEWS) in acutely ill medical patients attending a resource-poor hospital in sub-Saharan Africa. *Resuscitation* 2013 Jun;84(6):743-746. [doi: [10.1016/j.resuscitation.2013.02.007](https://doi.org/10.1016/j.resuscitation.2013.02.007)] [Medline: [23438452](https://pubmed.ncbi.nlm.nih.gov/23438452/)]
8. Smith GB, Prytherch DR, Meredith P, Schmidt PE, Featherstone PI. The ability of the national early warning score (NEWS) to discriminate patients at risk of early cardiac arrest, unanticipated intensive care unit admission, and death. *Resuscitation* 2013 Apr;84(4):465-470. [doi: [10.1016/j.resuscitation.2012.12.016](https://doi.org/10.1016/j.resuscitation.2012.12.016)] [Medline: [23295778](https://pubmed.ncbi.nlm.nih.gov/23295778/)]
9. Prytherch DR, Smith GB, Schmidt PE, Featherstone PI. ViEWS--towards a national early warning score for detecting adult inpatient deterioration. *Resuscitation* 2010 Aug;81(8):932-937. [doi: [10.1016/j.resuscitation.2010.04.014](https://doi.org/10.1016/j.resuscitation.2010.04.014)] [Medline: [20637974](https://pubmed.ncbi.nlm.nih.gov/20637974/)]
10. Kellett J, Kim A. Validation of an abbreviated Vitalpac™ early warning score (ViEWS) in 75,419 consecutive admissions to a Canadian regional hospital. *Resuscitation* 2012 Mar;83(3):297-302. [doi: [10.1016/j.resuscitation.2011.08.022](https://doi.org/10.1016/j.resuscitation.2011.08.022)] [Medline: [21907689](https://pubmed.ncbi.nlm.nih.gov/21907689/)]
11. Sacco Casamassima MG, Salazar JH, Papandria D, Fackler J, Chrouser K, Boss EF, et al. Use of risk stratification indices to predict mortality in critically ill children. *Eur J Pediatr* 2014 Jan;173(1):1-13. [doi: [10.1007/s00431-013-1987-6](https://doi.org/10.1007/s00431-013-1987-6)] [Medline: [23525543](https://pubmed.ncbi.nlm.nih.gov/23525543/)]
12. Moonesinghe SR, Mythen MG, Das P, Rowan KM, Grocott MP. Risk stratification tools for predicting morbidity and mortality in adult patients undergoing major surgery: qualitative systematic review. *Anesthesiology* 2013 Oct;119(4):959-981. [doi: [10.1097/ALN.0b013e3182a4e94d](https://doi.org/10.1097/ALN.0b013e3182a4e94d)] [Medline: [24195875](https://pubmed.ncbi.nlm.nih.gov/24195875/)]
13. Churpek MM, Yuen TC, Park SY, Gibbons R, Edelson DP. Using electronic health record data to develop and validate a prediction model for adverse outcomes in the wards*. *Crit Care Med* 2014 Apr;42(4):841-848 [FREE Full text] [doi: [10.1097/CCM.0000000000000038](https://doi.org/10.1097/CCM.0000000000000038)] [Medline: [24247472](https://pubmed.ncbi.nlm.nih.gov/24247472/)]
14. Rothman MJ, Rothman SI, Beals 4th J. Development and validation of a continuous measure of patient condition using the electronic medical record. *J Biomed Inform* 2013 Oct;46(5):837-848 [FREE Full text] [doi: [10.1016/j.jbi.2013.06.011](https://doi.org/10.1016/j.jbi.2013.06.011)] [Medline: [23831554](https://pubmed.ncbi.nlm.nih.gov/23831554/)]
15. Tabak YP, Sun X, Nunez CM, Johannes RS. Using electronic health record data to develop inpatient mortality predictive model: acute laboratory risk of mortality score (ALaRMS). *J Am Med Inform Assoc* 2014;21(3):455-463 [FREE Full text] [doi: [10.1136/amiajnl-2013-001790](https://doi.org/10.1136/amiajnl-2013-001790)] [Medline: [24097807](https://pubmed.ncbi.nlm.nih.gov/24097807/)]
16. Wong J, Taljaard M, Forster AJ, Escobar GJ, van Walraven C. Derivation and validation of a model to predict daily risk of death in hospital. *Med Care* 2011 Aug;49(8):734-743. [doi: [10.1097/MLR.0b013e318215d266](https://doi.org/10.1097/MLR.0b013e318215d266)] [Medline: [21478775](https://pubmed.ncbi.nlm.nih.gov/21478775/)]
17. Cai X, Perez-Concha O, Coiera E, Martin-Sanchez F, Day R, Roffe D, et al. Real-time prediction of mortality, readmission, and length of stay using electronic health record data. *J Am Med Inform Assoc* 2016;23(3):553-561. [doi: [10.1093/jamia/ocv110](https://doi.org/10.1093/jamia/ocv110)] [Medline: [26374704](https://pubmed.ncbi.nlm.nih.gov/26374704/)]
18. Breiman L, Cutler A. The Comprehensive R Archive Network. 2018 Mar 25. Package 'RandomForest' URL: <https://cran.r-project.org/web/packages/randomForest/randomForest.pdf> [accessed 2019-06-11] [WebCite Cache ID 781QCayMU]
19. Chen T, Guestrin C. XGBoost: A Scalable Tree Boosting System. In: Proceedings of the 22nd ACM SIGKDD International Conference on Knowledge Discovery and Data Mining. 2016 Presented at: KDD'16; August 13-17, 2016; San Francisco, California p. 785-794. [doi: [10.1145/2939672.2939785](https://doi.org/10.1145/2939672.2939785)]
20. Ridgeway G. R Project. 2019. gbm: Generalized Boosted Regression Models URL: <https://cran.r-project.org/web/packages/gbm/index.html> [accessed 2019-06-11] [WebCite Cache ID 781QSUtm]
21. Karatzoglou A, Smola A, Hornik K. The Comprehensive R Archive Network. 2018. kernlab: Kernel-Based Machine Learning Lab URL: <https://cran.r-project.org/web/packages/kernlab/> [accessed 2019-06-11] [WebCite Cache ID 781Pppx4w]
22. Friedman J, Hastie T, Tibshirani R, Simon N, Narasimhan B. The Comprehensive R Archive Network. 2019. glmnet: Lasso and Elastic-Net Regularized Generalized Linear Models URL: <https://cran.r-project.org/web/packages/glmnet/glmnet.pdf> [accessed 2019-06-11] [WebCite Cache ID 781Qfckq7]
23. Ripley BD. The R Language - Seminar for Statistics. 2019. k-Nearest Neighbour Classification URL: <https://stat.ethz.ch/R-manual/R-devel/library/class/html/knn.html> [accessed 2019-06-11] [WebCite Cache ID 781RvvHOQ]
24. Subbe CP, Kruger M, Rutherford P, Gemmel L. Validation of a modified early warning score in medical admissions. *QJM* 2001 Oct;94(10):521-526. [doi: [10.1093/qjmed/94.10.521](https://doi.org/10.1093/qjmed/94.10.521)] [Medline: [11588210](https://pubmed.ncbi.nlm.nih.gov/11588210/)]

25. Paterson R, MacLeod DC, Thetford D, Beattie A, Graham C, Lam S, et al. Prediction of in-hospital mortality and length of stay using an early warning scoring system: clinical audit. *Clin Med (Lond)* 2006;6(3):281-284 [[FREE Full text](#)] [doi: [10.7861/clinmedicine.6-3-281](https://doi.org/10.7861/clinmedicine.6-3-281)] [Medline: [16826863](https://pubmed.ncbi.nlm.nih.gov/16826863/)]
26. Wheeler I, Price C, Sitch A, Banda P, Kellett J, Nyirenda M, et al. Early warning scores generated in developed healthcare settings are not sufficient at predicting early mortality in Blantyre, Malawi: a prospective cohort study. *PLoS One* 2013;8(3):e59830 [[FREE Full text](#)] [doi: [10.1371/journal.pone.0059830](https://doi.org/10.1371/journal.pone.0059830)] [Medline: [23555796](https://pubmed.ncbi.nlm.nih.gov/23555796/)]
27. Smith ME, Chiovaro JC, O'Neil M, Kansagara D, Quiñones AR, Freeman M, et al. Early warning system scores for clinical deterioration in hospitalized patients: a systematic review. *Ann Am Thorac Soc* 2014 Nov;11(9):1454-1465. [doi: [10.1513/AnnalsATS.201403-102OC](https://doi.org/10.1513/AnnalsATS.201403-102OC)] [Medline: [25296111](https://pubmed.ncbi.nlm.nih.gov/25296111/)]
28. Asadollahi K, Hastings IM, Gill GV, Beeching NJ. Prediction of hospital mortality from admission laboratory data and patient age: a simple model. *Emerg Med Australas* 2011 Jun;23(3):354-363. [doi: [10.1111/j.1742-6723.2011.01410.x](https://doi.org/10.1111/j.1742-6723.2011.01410.x)] [Medline: [21668723](https://pubmed.ncbi.nlm.nih.gov/21668723/)]
29. Fromm P, Shimoni Z. Prediction of hospital mortality rates by admission laboratory tests. *Clin Chem* 2006 Feb;52(2):325-328 [[FREE Full text](#)] [doi: [10.1373/clinchem.2005.059030](https://doi.org/10.1373/clinchem.2005.059030)] [Medline: [16449218](https://pubmed.ncbi.nlm.nih.gov/16449218/)]
30. Hall MJ, Levant S, DeFrances CJ. Trends in inpatient hospital deaths: national hospital discharge survey, 2000-2010. *NCHS Data Brief* 2013 Mar(118):1-8 [[FREE Full text](#)] [Medline: [23742820](https://pubmed.ncbi.nlm.nih.gov/23742820/)]
31. Caruana R, Karampatziakis N, Yessenalina A. An Empirical Evaluation of Supervised Learning in High Dimensions. In: *Proceedings of the 25th International Conference on Machine learning*. 2008 Presented at: ICML'08; July 5-9, 2008; Helsinki, Finland p. 96-103. [doi: [10.1145/1390156.1390169](https://doi.org/10.1145/1390156.1390169)]
32. Liaw A, Wiener M. The R Project for Statistical Computing. 2002. Classification and regression by randomForest URL: https://www.r-project.org/doc/Rnews/Rnews_2002-3.pdf
33. Miotto R, Wang F, Wang S, Jiang X, Dudley JT. Deep learning for healthcare: review, opportunities and challenges. *Brief Bioinform* 2018;19(6):1236-1246 [[FREE Full text](#)] [doi: [10.1093/bib/bbx044](https://doi.org/10.1093/bib/bbx044)] [Medline: [28481991](https://pubmed.ncbi.nlm.nih.gov/28481991/)]
34. Capan M, Hoover S, Miller KE, Pal C, Glasgow JM, Jackson EV, et al. Data-driven approach to early warning score-based alert management. *BMJ Open Qual* 2018;7(3):e000088 [[FREE Full text](#)] [doi: [10.1136/bmjopen-2017-000088](https://doi.org/10.1136/bmjopen-2017-000088)] [Medline: [30167470](https://pubmed.ncbi.nlm.nih.gov/30167470/)]
35. McNamara RL, Kennedy KF, Cohen DJ, Diercks DB, Moscucci M, Ramee S, et al. Predicting in-hospital mortality in patients with acute myocardial infarction. *J Am Coll Cardiol* 2016;68(6):626-635 [[FREE Full text](#)] [doi: [10.1016/j.jacc.2016.05.049](https://doi.org/10.1016/j.jacc.2016.05.049)] [Medline: [27491907](https://pubmed.ncbi.nlm.nih.gov/27491907/)]
36. Granger CB, Goldberg RJ, Dabbous O, Pieper KS, Eagle KA, Cannon CP, Global Registry of Acute Coronary Events Investigators. Predictors of hospital mortality in the global registry of acute coronary events. *Arch Intern Med* 2003 Oct 27;163(19):2345-2353. [doi: [10.1001/archinte.163.19.2345](https://doi.org/10.1001/archinte.163.19.2345)] [Medline: [14581255](https://pubmed.ncbi.nlm.nih.gov/14581255/)]
37. Lim E, Cheng Y, Reuschel C, Mbowe O, Ahn HJ, Juarez DT, et al. Risk-adjusted in-hospital mortality models for congestive heart failure and acute myocardial infarction: value of clinical laboratory data and race/ethnicity. *Health Serv Res* 2015 Aug;50(Suppl 1):1351-1371 [[FREE Full text](#)] [doi: [10.1111/1475-6773.12325](https://doi.org/10.1111/1475-6773.12325)] [Medline: [26073945](https://pubmed.ncbi.nlm.nih.gov/26073945/)]
38. Mathukia C, Fan W, Vadyak K, Biege C, Krishnamurthy M. Modified early warning system improves patient safety and clinical outcomes in an academic community hospital. *J Community Hosp Intern Med Perspect* 2015;5(2):26716 [[FREE Full text](#)] [doi: [10.3402/jchimp.v5.26716](https://doi.org/10.3402/jchimp.v5.26716)] [Medline: [25846353](https://pubmed.ncbi.nlm.nih.gov/25846353/)]
39. Walkey AJ, Weinberg J, Wiener RS, Cooke CR, Lindenauer PK. Association of do-not-resuscitate orders and hospital mortality rate among patients with pneumonia. *JAMA Intern Med* 2016 Jan;176(1):97-104. [doi: [10.1001/jamainternmed.2015.6324](https://doi.org/10.1001/jamainternmed.2015.6324)] [Medline: [26658673](https://pubmed.ncbi.nlm.nih.gov/26658673/)]
40. McNeill G, Bryden D. Do either early warning systems or emergency response teams improve hospital patient survival? A systematic review. *Resuscitation* 2013 Dec;84(12):1652-1667. [doi: [10.1016/j.resuscitation.2013.08.006](https://doi.org/10.1016/j.resuscitation.2013.08.006)] [Medline: [23962485](https://pubmed.ncbi.nlm.nih.gov/23962485/)]
41. Alam N, Hobbelenk EL, van Tienhoven AJ, van de Ven PM, Jansma EP, Nanayakkara PW. The impact of the use of the early warning score (EWS) on patient outcomes: a systematic review. *Resuscitation* 2014 May;85(5):587-594. [doi: [10.1016/j.resuscitation.2014.01.013](https://doi.org/10.1016/j.resuscitation.2014.01.013)] [Medline: [24467882](https://pubmed.ncbi.nlm.nih.gov/24467882/)]

Abbreviations

- BHS:** Berkshire Health System
- DNR:** do-not-resuscitate
- ED:** emergency department
- EMR:** electronic medical record
- EWS:** early warning system
- HR:** hazard ratio
- ICD:** International Classification of Diseases
- OR:** odds ratio
- PPV:** positive predictive value

ROC: receiver operating characteristic
RRT: rapid response team
ViEWS: VitalPAC Early Warning Score

Edited by G Eysenbach; submitted 15.02.19; peer-reviewed by G Tognola, Y Chu, GE Iyawa; comments to author 20.03.19; revised version received 08.05.19; accepted 25.05.19; published 05.07.19

Please cite as:

Ye C, Wang O, Liu M, Zheng L, Xia M, Hao S, Jin B, Jin H, Zhu C, Huang CJ, Gao P, Ellrodt G, Brennan D, Stearns F, Sylvester KG, Widen E, McElhinney DB, Ling X

A Real-Time Early Warning System for Monitoring Inpatient Mortality Risk: Prospective Study Using Electronic Medical Record Data

J Med Internet Res 2019;21(7):e13719

URL: <https://www.jmir.org/2019/7/e13719/>

doi: [10.2196/13719](https://doi.org/10.2196/13719)

PMID: [31278734](https://pubmed.ncbi.nlm.nih.gov/31278734/)

©Chengyin Ye, Oliver Wang, Modi Liu, Le Zheng, Minjie Xia, Shiyong Hao, Bo Jin, Hua Jin, Chunqing Zhu, Chao Jung Huang, Peng Gao, Gray Ellrodt, Denny Brennan, Frank Stearns, Karl G Sylvester, Eric Widen, Doff B McElhinney, Xuefeng Ling. Originally published in the Journal of Medical Internet Research (<http://www.jmir.org>), 05.07.2019. This is an open-access article distributed under the terms of the Creative Commons Attribution License (<https://creativecommons.org/licenses/by/4.0/>), which permits unrestricted use, distribution, and reproduction in any medium, provided the original work, first published in the Journal of Medical Internet Research, is properly cited. The complete bibliographic information, a link to the original publication on <http://www.jmir.org/>, as well as this copyright and license information must be included.